

# WALL-CROSSING FORMULA FOR BPS STATES & SOME APPLICATIONS

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1. LONG INTRO: WHAT ARE BPS STATES,  
AND WHY DO WE CARE ABOUT THEM?
2. SUMMARY OF RESULTS
3. SUPERGRAVITY TOOLS
4. THE ENTROPY ENIGMA
5. WALL-CROSSING FORMULA
6. APOTHEOSIS OF DONALDSON-THOMAS  
AND McMAHON
7. SKETCH OF A "PROOF" OF OSV
8. CONCLUSION: SOME IMPORTANT  
OPEN PROBLEMS.

# 1. INTRODUCTION

THE "SPACE OF BPS STATES" HAS BEEN A VERY USEFUL CONCEPT, CENTRAL TO MOST OF THE KEY RESULTS IN STRING THEORY + FIELD THEORY OF THE PAST 15 YEARS.

TODAY I WILL BE DESCRIBING SOME PROGRESS MADE IN COLLABORATION W/ FREDERIK DENEF OVER THE PAST 2 YEARS IN UNDERSTANDING SOME NEW ASPECTS OF BPS STATES.

SINCE THIS IS A MIXED AUDIENCE OF MATHEMATICIANS + PHYSICISTS I MUST BEGIN BY DEFINING "THE SPACE OF BPS STATES."

## A. DEFINING THE "SPACE OF BPS STATES"

GENERALLY SPEAKING, SPACES OF BPS STATES ARE ASSOCIATED TO STRING/FIELD THEORIES ON ASYMPTOTICALLY ADS OR MINKOWSKIAN SPACETIME,  $M_n$ , WITH UNBROKEN EXTENDED SUPERSYMMETRY

FOR DEFINITENESS, WE FOCUS ON  
 MINK.  $M_4$  WITH  $\mathcal{N}=2$  SUSY.  
 POINCARÉ SUPERALGEBRA

$$(\mathbb{C} \oplus \mathbb{R}^4 \oplus \wedge^2 \mathbb{R}^4) \cong (\mathfrak{so}(2,2))$$

$$\cong \mathbb{Z} \oplus P_\mu \oplus M_{\mu\nu} \oplus Q_{i\alpha}$$

$\mathbb{Z}$  IS A CENTRAL OPERATOR

THERE IS A HILBERT SPACE OF THE  
 THEORY AND WE FOCUS ON THE

$$1\text{-PARTICLE STATES} = \mathcal{H}$$

$\mathcal{H}$  IS A REP. OF THE  $d=4, \mathcal{N}=2$

AND SINCE  $\mathbb{Z}$  IS CENTRAL

WE CAN DECOMPOSE:

$$\mathcal{H} = \bigoplus_{z \in \mathbb{C}} \mathcal{H}_{\mathbb{Z}=z}$$

LEMMA: ON  $\mathcal{H}_{\mathbb{Z}=z}$  THE HAMILTONIAN  
 IS BOUNDED BY  $E \geq |z|$ .

PROOF:  $\mathcal{N}=2 \Rightarrow$

$$\{Q_{i\alpha}, Q_{j\beta}\} = \delta_{ij} (C\Gamma^\mu)_{\alpha\beta} P_\mu + \epsilon_{ij} C_{\alpha\beta} \mathbb{Z}$$

THIS IS A 6D SUSY ALGEBRA  $Q_A$ ,

$$\{Q_A, Q_B\} = (CT^M)_{AB} P_M$$

WITH  $P_4 + iP_5 = Z$ . BUT

$$M^2 = E^2 - \vec{P}^2 - |Z|^2 \geq 0. \quad \blacksquare$$

DEF'N:  $\mathcal{H}_{\text{BPS}}$  IS THE SUBSPACE OF  $\mathcal{H}$  WHERE  $E = |Z|$ .

RMK: BOUND SATURATED ONLY FOR

$\vec{P} = 0$  (STATE AT REST) AND  $M_{6D} = 0 \Rightarrow$

"SMALL REP'S":

$$(\sum C_A Q_A) |\Psi\rangle = 0$$

AS STRESSED BY SEIBERG-WITTEN 1994  
MORE RIGID, HENCE MORE COMPUTABLE.

NOW - SPECIALIZE TO TYPE II  
STRING THEORY ON  $M_n \times X$ .

- $M_n$  IS NONCOMPACT  $\Rightarrow$  TO DEFINE THE HILBERT SPACE & HAMILTONIAN WE MUST SPECIFY BOUNDARY COND'S FOR THE MASSLESS FIELDS:

$$\underline{\mathbb{E}}_\infty = \lim_{\vec{X} \rightarrow \infty} (g_{\mu\nu}, \phi, B_{\mu\nu}, RR)$$

$\mathcal{H}_{\underline{\mathbb{E}}_\infty}$  : 1-PARTICLE HILBERT SPACE  
DEPENDS ON  $\underline{\mathbb{E}}_\infty$

- ALSO :  $\mathcal{H}_{\underline{\mathbb{E}}_\infty}$  IS GRADED BY SUPERSELECTION SECTORS :

$$\mathcal{H}_{\underline{\mathbb{E}}_\infty} = \bigoplus_{\Gamma} \mathcal{H}_{\underline{\mathbb{E}}_\infty}^{\Gamma} \quad \Gamma \in \text{TWISTED K-THEORY}$$

REMARKS:

1. NOT TRIVIAL! NOT TRUE FOR FLUX-SECTORS!
2. ORIENTIFOLDS: TWISTED KR THEORY, DIFF. OR'S CLASSIFIED BY THE TWISTING (Distler, Freed, M.)

NOW WE PUT THESE THINGS TOGETHER:

CONSIDER IIA STRINGS ON A STATIC  $CY_3 \times$   
WITH FLAT B-FIELD AND FLAT  
RR FIELDS @  $\infty$ .

$\Rightarrow \mathcal{N}=2, d=4$  SUSY

EACH  $\mathcal{H}_{\mathbb{E}_\infty}^\Gamma$  IS A REP OF  $\mathcal{N}=2$

WITH  $\hat{\mathbb{Z}} = \mathbb{Z}(\Gamma; \mathbb{E}_\infty)$ , DEFINING  
THE CRUCIAL CENTRAL CHARGE FUNCTION.

SO, WE STUDY THE BPS SPECTRUM

$$\mathcal{H}_{\text{BPS}} = \bigoplus_{\Gamma \in K^0(X)} \mathcal{H}_{\mathbb{E}_\infty, \text{BPS}}^\Gamma$$

## B. DEPENDENCE ON MODULI

THE SPACES  $\mathcal{H}_{\mathbb{E}_\infty, \text{BPS}}^\Gamma$  ARE

LOCALLY CONSTANT BUT NOT GLOBALLY  
CONSTANT ON MODULI SPACE (= SPACE OF  $\mathbb{E}_\infty$ 's)

MODULI SPLIT AS :

HYPERMULTIPLETS  $\times$  VECTOR MULTIPLETS

[CPLX STR.,  $\phi$ , RR FIELDS]  $t = B + iJ$

IT HAS BEEN KNOWN FOR AT LEAST  
12 YEARS (Harvey + Moore, 1995) THAT  
 $\mathcal{H}_{\mathbb{E}_\infty, \text{BPS}}^\Gamma$  CAN CHANGE AS A FUNCTION OF  
HYPERMULTIPLETS.

BUT THESE JUMPS OCCUR BECAUSE  
A VM. + HM. BECOME SIMULTANEOUSLY  
MASSLESS  $\Rightarrow$  THE INDEX

$$\Omega(\Gamma; \mathbb{E}_\infty) = -\frac{1}{2} \text{Tr}_{\mathcal{H}_{\mathbb{E}_\infty, \text{BPS}}^\Gamma} (2J_3)^2 (-1)^{2J_3}$$

IS INVARIANT.

- CODIMENSION  $> 1$
- ASSUME GENERIC POINT IN  
HM MODULI SPACE AND IGNORE.

RECENT PROGRESS HAS BEEN CONCERNED WITH THE DEPENDENCE ON VECTORMULTIPLETS, IN THIS TALK,

$$t = B + iJ$$

- NOW THE INDEX  $\Omega$  CHANGES AS WELL AS  $\mathcal{H}$
- NOW THE JUMPING LOCUS IS REAL CODIMENSION ONE AND CANNOT BE AVOIDED.

TECHNICAL POINT:

$$\mathcal{H}_{\Phi_{\infty}, \text{BPS}}^{\Gamma} = \underbrace{\mathcal{H}_{\frac{1}{2}\text{HM}}}_{\substack{1/2 \text{ hyper} \\ \text{spin rep}^h}} \otimes \mathcal{H}(\Gamma, t_{\infty})$$

$2(0) + (\frac{1}{2})$  as

$$\Omega(\Gamma; t_{\infty}) = \text{Tr}_{\mathcal{H}(\Gamma, t_{\infty})} (-1)^F$$

HENCEFORTH FOCUS ON  $\mathcal{H}(\Gamma; t_{\infty})$



## C. WHY DO WE CARE?

BEFORE DESCRIBING RECENT PROGRESS  
LET US PAUSE TO REFLECT ON MOTIVATION

### PHYSICS MOTIVATION

1. THE MAIN MOTIVATION FOR RECENT WORK IS THE PROGRAM, INITIATED BY STROMINGER-Vafa (1995) OF ACCOUNTING FOR BH ENTROPY VIA MICROSTATE COUNTING. THAT GOAL IS STILL NOT FULLY ACCOMPLISHED.

IN THE PRESENT TALK THAT MEANS WE WANT TO KNOW THE ASYMPTOTICS OF  $\Omega(r; t)$  FOR "LARGE  $r$ ."

2. BPS STATES ARE CLOSELY RELATED TO TOPOLOGICAL STRING THEORY. THE MAIN GOAL HERE IS TO FIND A PHYSICALLY WELL-MOTIVATED DEFINITION OF NONPTVE TOPOLOGICAL STRING THEORY.

AGAIN - THIS IS RELATED TO ASYMPT'S  
OF  $\Omega(\Gamma; t)$

3. TRADITIONALLY, BPS STATES HAVE  
BEEN CRUCIAL IN ESTABLISHING  
DUALITY ISOMORPHISMS. THE  
 $\Omega(\Gamma; t)$  APPEAR IN QUANTUM  
CORRECTIONS TO  $S_{\text{EFF}}$  (LEADING  
TO RELATIONS TO BORCHERS PRODUCTS,  
ETC. )

# MATH MOTIVATION

1. PHYSICAL STABILITY OF BPS STATES IS RELATED TO MATH. STABILITY IN THE BOUNDED DERIVED CATEGORY OF COHERENT SHEAVES ON A C.Y. FOLLOWING WORK OF M. DOUGLAS, T. BRIDGELAND IS DEVELOPING A THEORY OF STABLE OBJECTS. OUR RESULTS GIVE SOME PREDICTIONS/CONSTRAINTS ON WHAT WE EXPECT SHOULD BE TRUE.

2. GENERATING FUNCTIONS FOR BPS STATES INVOLVE INTERESTING  $\infty$ -DIM'L PRODUCTS - SOMETIMES RELATED TO INTERESTING AUTOMORPHIC FORMS.

3. THERE ARE SEVERAL OTHER MORE SPECULATIVE APPLICATIONS

a.) BPS ALGEBRAS - VAST GENERALIZATION OF NAKAJIMA'S THEORY.

b.) ARITHMETIC CY'S

c.) CATEGORIFICATION OF KNOT INV'T'S.

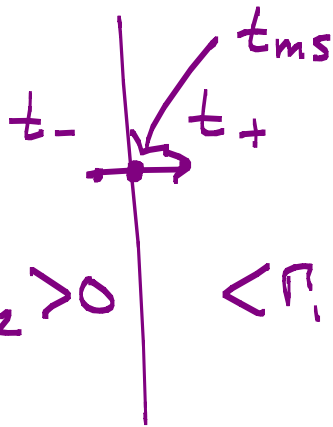
## 2. Summary of Results

### A. WALL-CROSSING FORMULA.

$Z(\Gamma; t)$  = central charge function.

$\langle \cdot, \cdot \rangle$  : symplectic product on  
charge space =  $\int^k \Gamma_1 \bar{\Gamma}_2$

Def:  $MS(\Gamma_1, \Gamma_2) = \left\{ t \mid Z(\Gamma_1, t) = \lambda Z(\Gamma_2, t) \neq 0 \right.$   
and  $\lambda \in \mathbb{R}_+$   $\left. \right\}$



$$\langle \Gamma_1, \Gamma_2 \rangle \operatorname{Im} Z_1 \bar{Z}_2 > 0 \quad \left| \quad \langle \Gamma_1, \Gamma_2 \rangle \operatorname{Im} Z_1 \bar{Z}_2 < 0$$

$\Gamma_1, \Gamma_2$  PRIMITIVE  $\Rightarrow$  LOSE STATES:

$$\Delta \mathcal{H} = (J_{12}) \otimes \mathcal{H}(\Gamma_1, t_{ms}) \otimes \mathcal{H}(\Gamma_2, t_{ms})$$

$$J_{12} = \frac{1}{2} (|\langle \Gamma_1, \Gamma_2 \rangle| - 1) = \frac{1}{2} (|I_{12}| - 1)$$

Appears to be a universal formula for  $d=4, W=2$ .

Generalization: Note that

$$MS(\Gamma_1, \Gamma_2) = MS(N_1 \Gamma_1, N_2 \Gamma_2) \quad N_1, N_2 \in \mathbb{Z}$$

WE CAN SAY THAT

$$\bigoplus_{N_2} u^{N_2} \Delta \mathcal{H} / \Gamma \rightarrow \Gamma_1 + N_2 \Gamma_2$$

IS A SUPER-FOCK SPACE:

$$\mathcal{H}(\Gamma_1, tms) \otimes_k \mathcal{F} \left( u^k \left( \mathcal{J}_{\Gamma_1, k\Gamma_2} \right) \otimes \mathcal{H}(k\Gamma_2, itms) \right)$$

IN PARTICULAR:

$$\begin{aligned} \Omega_1 + \sum_{N > 0} u^N \Delta \Omega(\Gamma_1 + N\Gamma_2) &= \\ = \Omega(\Gamma_1) \prod_{k > 0} \left( 1 - (-1)^{\langle \Gamma_1, k\Gamma_2 \rangle} u^k \right)^{|\langle \Gamma_1, k\Gamma_2 \rangle|} \Omega(k\Gamma_2) \end{aligned}$$

KONTSEVICH'S TALK WILL HAVE  
SOMETHING TO SAY ABOUT THE CASE  
 $N_1, N_2 > 1$ .

## B. AN OSV FORMULA

HERE WE HAVE BOTH A "PROOF"  
AND A "COUNTEREXAMPLE".

- BY A PROOF I MEAN THAT WE REDUCE THE OSV FORMULA, IN A CERTAIN CHARGE REGIME, TO TWO SEEMINGLY MORE ACCESSIBLE CONJECTURES.
- BY A COUNTEREXAMPLE I MEAN THAT WE HAVE SOME RATHER STRONG EVIDENCE THAT SUGGESTS THE OSV FORMULA IS NOT TRUE IN THE WEAK COUPLING REGIME

$$g_{\text{top}} \approx \sqrt{-\frac{\hat{g}_0}{p^3}} \approx \mathcal{O}(1)$$

"ENTROPY ENIGMA"

## OUR VERSION OF OSV:

$$\text{Let } \text{ch } \Gamma \sqrt{\hat{A}} = p^0 + P + Q + q_0 \\ \in H^0 \oplus H^2 \oplus H^4 \oplus H^6$$

If  $\text{ch } \Gamma \sqrt{\hat{A}} = P + Q + q_0$  with  $P$  in the Kähler cone, then:

$$\Omega(\Gamma)_\infty := \lim_{\lambda \rightarrow \infty} \Omega(\Gamma; t = B + i\lambda P)$$

LIMIT IS WELL-DEFINED &  $B$ -INDEPENDENT.

Then

$$\Omega(\Gamma)_\infty = \int d\phi \mu(\phi) \left| \mathcal{Z}_{\text{top}}^\epsilon(g_{\text{top}}, t) \right|^2 e^{-2\pi g_s \phi} \\ \cdot (1 + \mathcal{O}(e^{-\Delta}))$$

where:

$$1. \quad g_{\text{top}} = \frac{2\pi}{\phi^0} \quad t^A = \frac{1}{\phi^0} \left( \phi^A + i \frac{P^A}{2} \right)$$

$$2. \quad Z_{\text{top}}(g, t) = \text{top. string p.f.}$$

$$= \sum_{\beta, n} N_{\text{DT}}(\beta, n) (-e^{-g})^n e^{2\pi i \beta \cdot t}$$

$$3. \quad Z_{\text{top}}^\epsilon(g, t) = \sum_{\substack{\beta \cdot P \in \mathbb{P}^3 \\ |n| \in \mathbb{P}^3}} (\dots)$$

$$4. \quad \mu(P, \phi) = \frac{1}{g_{\text{top}}^2} \text{Re} \left( X^\wedge \frac{\partial F_{\text{top}}^\epsilon}{\partial X^\wedge} \right)$$

$$= \frac{1}{g_{\text{top}}^2} e^{-K} \quad (b_1(X) = 0)$$

5.  $\Delta = \text{FUNCTION OF: } \epsilon, P, \phi^0:$

If  $(g_{\text{top}})^{\text{s.p.}} \approx \sqrt{\frac{-\hat{g}_0}{P^3}} \gg 1 \quad \left[ \hat{g}_0 = g_0 - \frac{1}{2} (D_{ABC} P^C)^2 Q_A Q_B \right]$

Then  $e^{-\Delta} = \exp \left( -\frac{\pi}{12\mu} \frac{\epsilon}{\phi^0} P^3 \right)$



- ABOVE ASSUMES THE TRUTH OF THE "EPS CONJECTURE" (EXPLAINED BELOW).
- MOREOVER, THE PHENOMENON OF "SWING STATES"  $\Rightarrow$  WE MUST TAKE

$$\epsilon = \delta |P|^{-\sum_{c,d}}$$

$\sum_{c,d}$  = "Core dump exponent"  
KNOWN TO BE  $\leq 3$ .

- BUT FOR W.S. INSTANTONS TO BE RELEVANT WE NEED  $\sum_{c,d} \leq 2$ .

$\Rightarrow$  OPEN PROBLEM.

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I WILL NEXT DISCUSS THE TOOLS WE USE TO ARRIVE AT THESE RESULTS.

### 3. THE SUPERGRAVITY TOOLS

A: ATTRACTOR FLOW

D-BRANES ARE OBJECTS IN A CATEGORY

FOR SUSY IIA/CY - PROBABLY BOUNDED  
DERIVED CATEGORY OF COHERENT SHEAVES

A BIG PROBLEM IN DISCUSSING  
STABILITY IN DERIVED CATEGORIES  
IS IDENTIFYING "STABLE OBJECTS"

WEAK COUPLING,  $J \rightarrow \infty$  :  $\exists$  BEAUTIFUL  
SUGRA DESCRIPTION OF BPS STATES.

\* SUPERGRAVITY ALLOWS ONE TO  
IDENTIFY MANY "STABLE OBJECTS"  
THANKS TO THE ATTRACTOR MECHANISM.

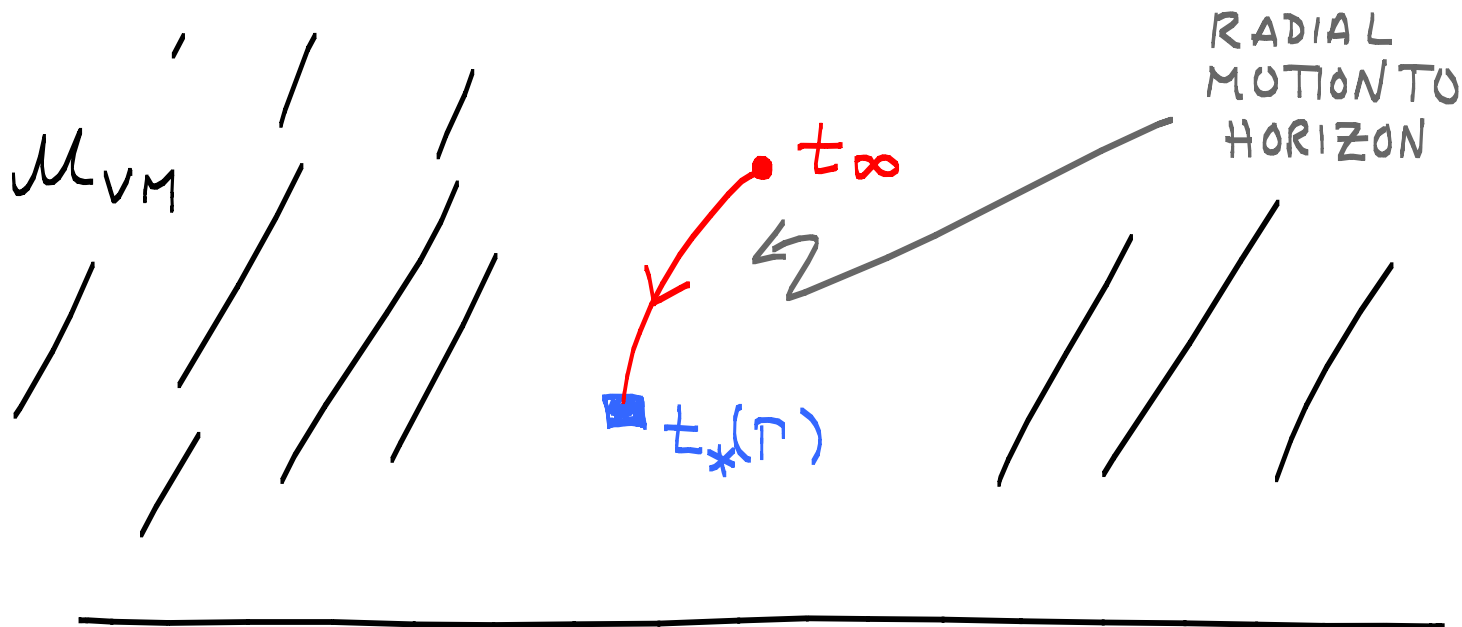
(Note: Henceforth we'll identify  $\Gamma \text{K}(x)$  with its image  $\text{ch} \Gamma \sqrt{\Delta} \in H^{\text{ev}}(X, \mathbb{Q})$ )

ATTRACTOR MECHANISM: (F.K.S. ; STROMINGER)

$\Gamma, t_\infty \in \text{SPHERICAL SYMMETRY}$

$\Rightarrow$  UNIQUE BPS SOLUTION

SCALAR FIELDS  $t = t(r)$



ATTRACTOR FLOW = GRADIENT FLOW FOR

$$\log |Z(\Gamma; t)|^2$$

$$Z(\Gamma; t) := \langle \Gamma, \omega \rangle$$

$\langle -, \cdot \rangle :=$  SYMPLECTIC PRODUCT  
ON  $K^0(X)$ :

$$\int \text{ch } E \text{ ch } \bar{E}' \hat{A} = \int -p^0 q_0' + p q_0' - q p_0' + q_0 p_0'$$

$$\omega \approx e^{\frac{\kappa}{2}} e^t = e^{\frac{\kappa}{2}} e^{B+iJ}$$

$$Z = -e^{+\kappa/2} \int e^{-t} \Gamma$$

$$\kappa = -\log (\text{Im } t)^3$$

$$Z \approx \frac{\frac{1}{6} p^0 t^3 - \frac{1}{2} p t^2 + q t - q_0}{\sqrt{(\text{Im } t)^3}}$$

DEFN:  $Z_*(\Gamma) = Z(\Gamma; t_*(\Gamma)) \neq 0$

$\Rightarrow t_*(\Gamma)$  IS A REGULAR ATTRACTOR POINT

HORIZON AREA =  $4 S(\Gamma) = 4\pi |Z_*(\Gamma)|^2$

$S(\Gamma) = \sqrt{\mathcal{D}(\Gamma)}$ ,  $\underbrace{\mathcal{D}: H^{ev}(X, \mathbb{R}) \rightarrow \mathbb{R}}_{\text{"DISCRIMINANT"}}$

CONJECTURE:  $\log |\Omega(\Gamma; t_*(\Gamma))| \sim S(\Gamma)$   
FOR "LARGE"  $\Gamma$

## EXAMPLE:

$$\Gamma = P + Q + g_0 \quad \text{i.e.} \quad p^0 = 0$$

$$S(\Gamma) = 2\pi \sqrt{\frac{-\hat{g}_0 \chi(P)}{6}}$$

$$\chi(P) := P^3 + c_2 \cdot P$$

$$\hat{g}_0 := g_0 - \frac{1}{2} \left( D_{ABC} P^C \right)^{-1} Q_A Q_B$$

REGULAR ATTRACTOR POINT  
FOR

- $P$  IN KÄHLER CONE
- $\hat{g}_0 < 0$

## D1GRESSION: ROUGH MICROSCOPIC DESCRIPTION:

SINGLE D4 WRAPS  $\Sigma \in |P|$  & HAS  
FLUX  $F \in H^2(\Sigma, \mathbb{Z})$  AND  $N$   $\overline{D0}$ 'S

$$\chi(P) = P^3 + c_2 \cdot P = \text{EULER CHARACTER OF } \Sigma$$

$> 0$  FOR  $P \in \text{KÄHLER CONE}$

D-BRANES ARE A SOURCE OF RR  
CURRENT:

$$J = \text{ch} E \sqrt{\hat{A}} \Rightarrow$$

$$\hat{q}_0 = \frac{\chi(P)}{24} + \frac{1}{2}(F^-)^2 - N$$

N.B. ! CAN BE POSITIVE ...

$\hat{q}_0 > 0$  STATES: KNOWN AS "POLAR STATES"

$$\text{SUSY} \iff F^{2,0} = 0$$

FIXES MODULI OF  $\Sigma$ : "OPEN STRING VACUA"

$$\Sigma \in \text{NL}(P, F) = \{ \Sigma \in |P| \mid F \in H^1 \}$$

NOTE

- $b_2(\Sigma) = \chi(P) + 2 = P^3 + c_2 \cdot P + 2 \gg b_2(X)$
- "GENERIC"  $F \Rightarrow \text{NL}(P, F) = \text{FINITE SET OF POINTS}$

$\mathcal{M}(P, F, N) = \text{MODULI OF } D4 \text{'S}$

= "MODULI OF STABLE OBJECTS  
IN THE DERIVED CATEGORY  
WITH SPECIFIED CHERN CLASSES."

ROUGHLY:

$$\text{Sym}^N \Sigma \leftrightarrow \mathcal{M}(P, F, N)$$



smooth  
 $\Sigma$



$\text{NL}(P, F)$



BPS STATES :  $\sum c_A Q_A |\psi\rangle = 0$   
 $\Rightarrow |\psi\rangle$  COHO CLASS OF  $\mathcal{M}(P, F, N)$

$$d(F, N) = \chi(\mathcal{M}(P, F, N))$$

= SOME KIND OF

DONALDSON-THOMAS INVARIANT

$$\Omega(\Gamma)_\infty = \text{FINITE SUM OF } d(F, N)'s$$

RETURN TO SUGRA PERSPECTIVE

WHAT HAPPENS IN SUGRA IF  $\hat{q}_0 > 0$ ?

$$\mathcal{D}(\Gamma) < 0 \quad \text{FOR} \quad \hat{q}_0 > 0 \quad !!$$

ATTRACTOR SOLUTION DOES NOT EXIST:

$$\mathcal{D}(\Gamma) < 0 \iff Z(\Gamma; t) \text{ HAS A ZERO.}$$

FLOW REACHES THE ZERO AT  $r = r_c$ :

$$\otimes t_0(\Gamma): \quad Z(\Gamma; t_0(\Gamma)) = 0$$

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FLOW DOES NOT CONTINUE BEYOND  $r_c > 0$

SO, NO BPS STATE ..... ?

INDEED, SOMETIMES NOT, BUT

DENEFF: NOT NECESSARILY! RELAX  
SPHERICAL SYMMETRY  $\Rightarrow$

## B. MULTICENTERED SOLUTIONS:

### GENERAL BPS EQUATIONS

$$(1.) \quad ds^2 = -e^{2U} (dt + \Theta)^2 + e^{-2U} d\vec{x}^2$$

$$U = U(\vec{x}), \quad \vec{x} \in \mathbb{R}^3$$

$$(2.) \quad 2e^U \operatorname{Im}(e^{-i\alpha} \omega) = -H(\vec{x})$$

$$\alpha(\vec{x}) = \arg(Z(\Gamma; t\vec{x}))$$

$$H: \mathbb{R}^3 \rightarrow H^{\text{ev}}(X, \mathbb{R}) \quad \underline{\text{HARMONIC}}$$

$$H(\vec{x}) = \sum_j \frac{\Gamma_j}{|\vec{x} - \vec{x}_j|} - 2 \operatorname{Im}(e^{-i\alpha} \omega)_{\vec{x} = \infty}$$

(2)  $\Rightarrow$   $t(\vec{x})$  AND  $U(\vec{x})$  ARE  
DETERMINED BY  $\Gamma_j, \vec{x}_j$

$$(3.) \quad *_3 d\mathbb{H} = \langle dH, H \rangle$$

$\Rightarrow$  INTEGRABILITY CONDITION:

$$\sum_{\substack{j \\ j \neq i}} \frac{\langle \Gamma_i, \Gamma_j \rangle}{|\vec{x}_i - \vec{x}_j|} = 2 \operatorname{Im} \left( e^{-i\alpha} Z(\Gamma_i) \right)_\infty$$

SUGRA SOLUTION EXISTS  $\iff$

$\forall \vec{x} \in \mathbb{R}^3$ :

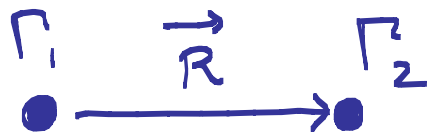
$$L(\vec{x}) \in \mathcal{M}_{\text{VM}} \quad \text{; } \quad \mathcal{D}(H(\vec{x})) > 0,$$

i.e.

$$\pi e^{-2U(\vec{x})} = S(H(\vec{x})) \geq 0$$

( A VERY NONTRIVIAL CONDITION  
TO CHECK ... )

## EXAMPLE: TWO CENTERS



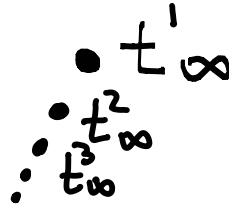
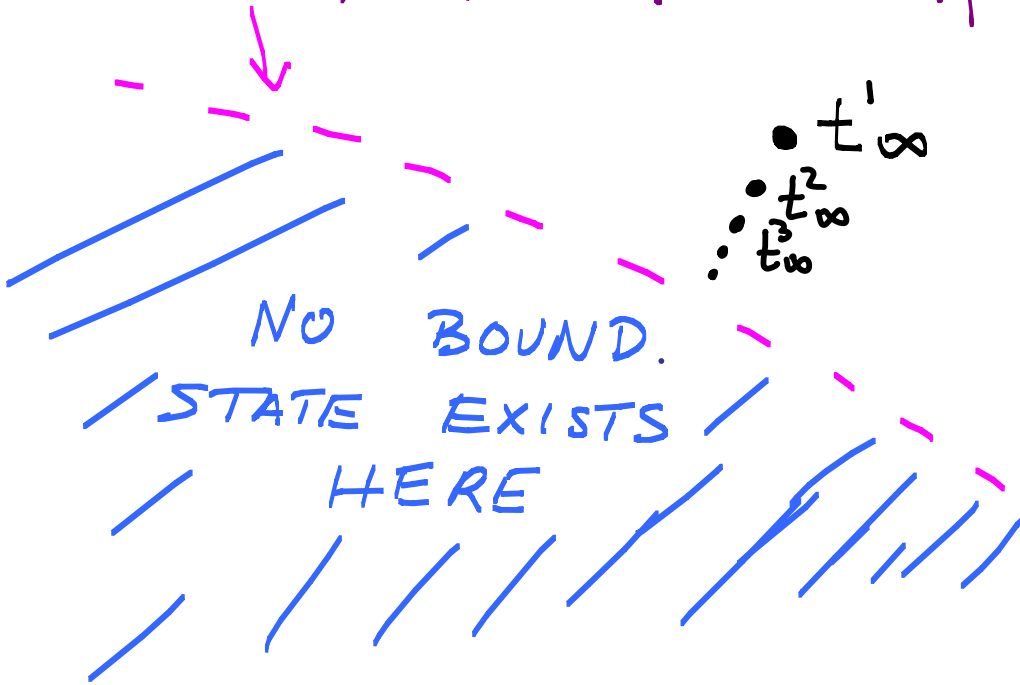
$$R = \frac{1}{2} \langle \Gamma_1, \Gamma_2 \rangle \frac{|z_1 + z_2|_{t \rightarrow \infty}}{\text{Im}(z_1 \bar{z}_2)_{t \rightarrow \infty}}$$

$$\vec{J} = \frac{1}{2} \langle \Gamma_1, \Gamma_2 \rangle \hat{R} \quad (\text{USEFUL LATER})$$

- NOTE:  $\langle \Gamma_1, \Gamma_2 \rangle \text{Im}(z_1 \bar{z}_2)_{t \rightarrow \infty} > 0$
- NOTE THAT BY CHANGING  $t_{\infty}$  WE CAN MAKE  $\text{Im}(z_1 \bar{z}_2) \big|_{t_{\infty}} \rightarrow 0$  WHILE  $|z_1 + z_2|_{t_{\infty}} \neq 0$

ILLUSTRATES THE KEY POINT OF MARGINAL STABILITY:

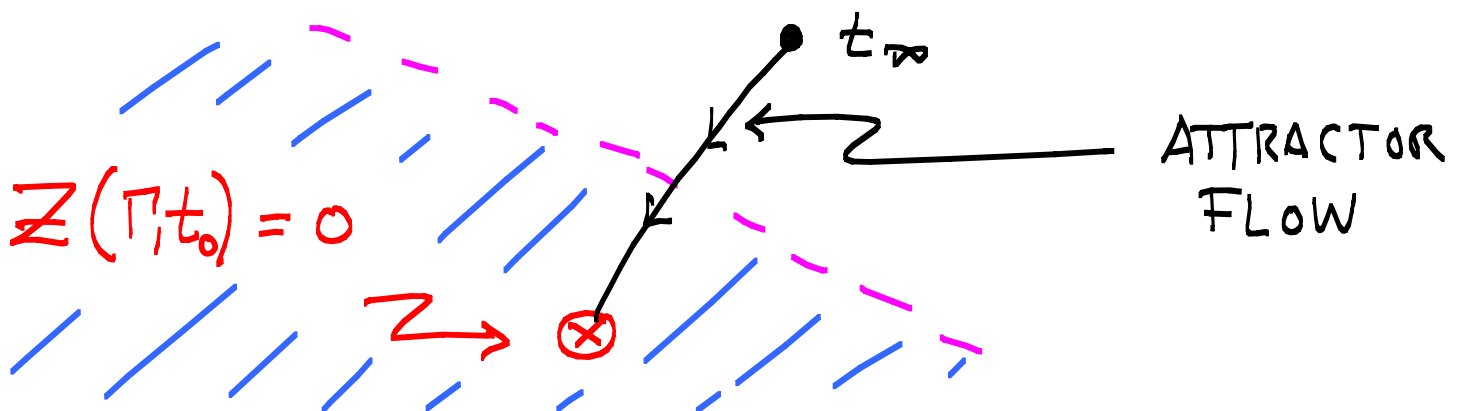
$$MS(\Gamma_1, \Gamma_2) := \left\{ t \in \mathcal{M}_{VM} \mid \frac{z_1}{z_2} \in \mathbb{R}_+ \right\}$$



CHANGE BC'S  
 ③  $\Gamma = \infty \implies$   
 $R_{1,2} \rightarrow \infty$

RECALL:

$\mathcal{D}(\Gamma) < 0 \iff z(\Gamma; t)$  HAS A  
 ZERO IN  $\mathcal{M}_{VM}$



EXAMPLE :

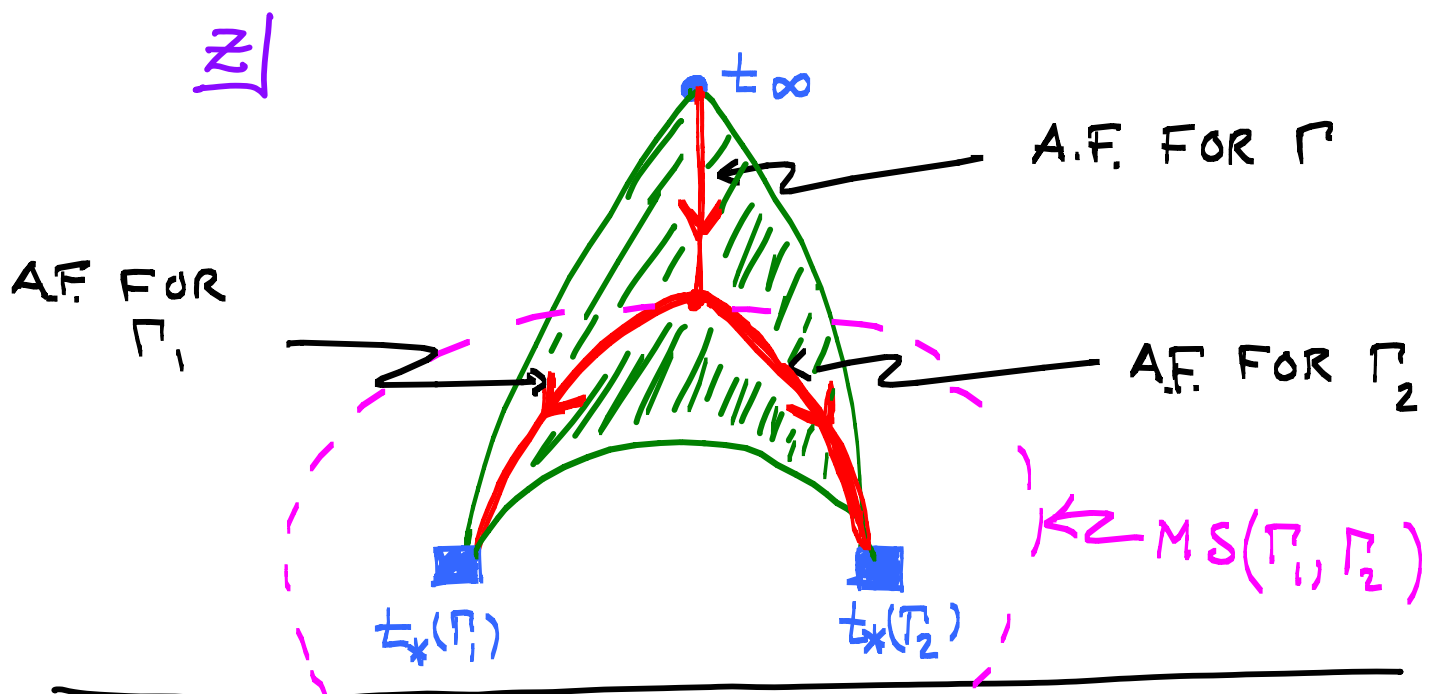
$$\Gamma = (0, P, 0, q_0) = \Gamma_1 + \Gamma_2$$

$$\Gamma_1 = (r, \frac{1}{2}P, Q, \frac{1}{2}q_0) \quad \Gamma_2 = (-r, \frac{1}{2}P, -Q, \frac{1}{2}q_0)$$

WE CAN HAVE  $\mathcal{D}(\Gamma) < 0$   
WHILE  $\Gamma_1, \Gamma_2$  SUPPORT REGULAR  
ATTRACTOR POINTS.

$\Rightarrow$  SUSY D6  $\overline{D6}$  BOUND STATE

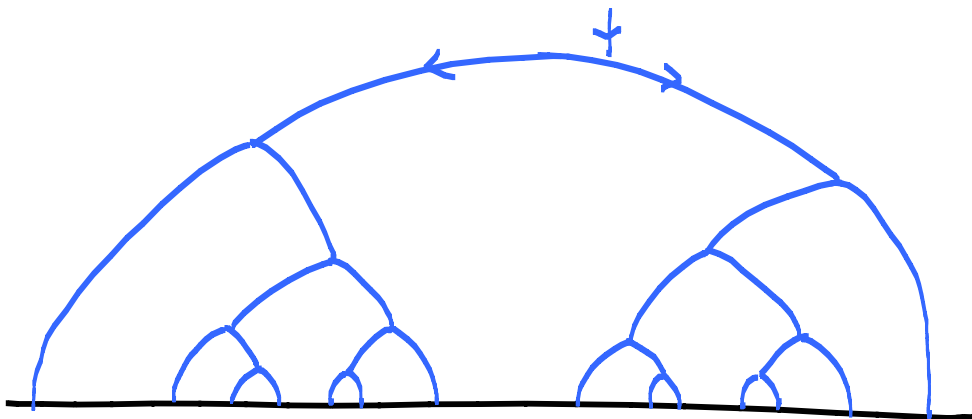
PLOT THE IMAGE OF  $t(\vec{x}) \in \mathcal{M}_{vH}$   
FOR A TWO-CENTERED SOL'N:  $t = zP$



## C : SPLIT ATTRACTOR FLOWS

DEF'N: A "SPLIT ATTRACTOR FLOW" IS A PIECEWISE ATTRACTOR FLOW TREE CONNECTED AT WALLS OF MARGINAL STABILITY AND TERMINATING ON REGULAR ATTRACTOR POINTS.

THESE S.A.F.'S CAN BE VERY INTRICATE. FOR EXAMPLE, WE CONSTRUCTED AN INFINITE FRACTAL FAMILY OF FLOWS:





# SPLIT ATTRACTOR CONJECTURE (DENEFF)

(a.) (COMPONENTS OF MODULI OF) MULTICENTERED SOLUTIONS ARE IN  $1 \leftrightarrow 1$  CORRESPONDENCE WITH S.A.F.'S.

(b.) FOR A FIXED  $(t_\infty, \Gamma)$  THERE ARE A FINITE NUMBER OF S.A.F.'S

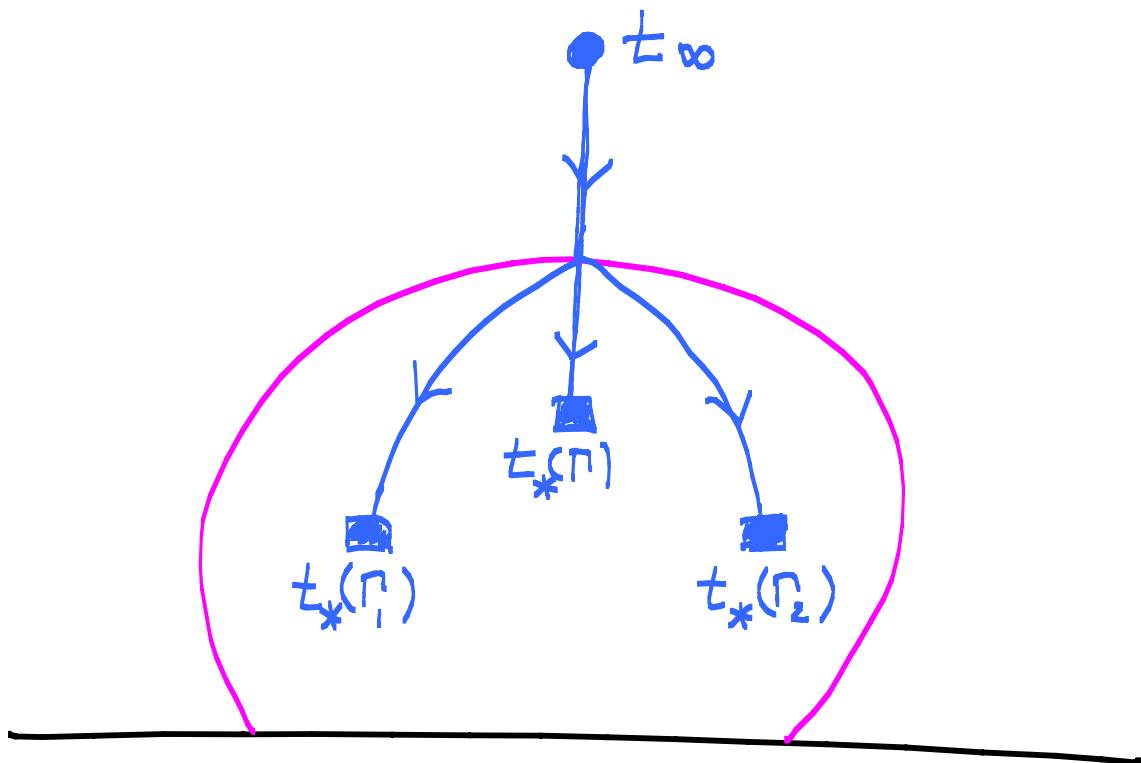
- USEFUL BECAUSE CHECKING  $\mathcal{D}(H(\vec{x})) > 0$  IS DIFFICULT
- $\mathcal{H}_{BPS}$  IS PARTITIONED BY SPLIT ATTRACTOR FLOWS
- $\exists$  SOME INTERESTING OPEN PROBLEMS HERE ....
  - \* QUANTUM MIXING BETWEEN DIFFERENT TREES
  - \* USEFUL EXISTENCE CRITERION FOR SCALING SOLUTIONS.

## 4. THE ENTROPY ENIGMA

$$\Gamma = (0, P, 0, q_0) = \Gamma_1 + \Gamma_2$$

$$\Gamma_1 = (r, \frac{1}{2}P, Q, \frac{1}{2}q_0) \quad \Gamma_2 = (-r, \frac{1}{2}P, -Q, \frac{1}{2}q_0)$$

FOR AN APPROPRIATE RANGE OF  
 $t_\infty, Q, q_0 \quad \exists$  BOTH SINGLE-CENTERED  
AND TWO-CENTERED SOLUTIONS



SO... COMPARE ENTROPIES

$$S(\Gamma) \quad \text{vs.} \quad S(\Gamma_1) + S(\Gamma_2)$$

IN FACT,

$\exists$  FAMILY OF CHARGES

$$\lambda \Gamma = \lambda(0, P, 0, q_0) = \Gamma_1^\lambda + \Gamma_2^\lambda$$

$$\Gamma_1^\lambda = (r, \frac{\lambda}{2} P, \lambda^2 Q, \frac{\lambda}{2} q_0) \quad \Gamma_2^\lambda = (-r, \frac{\lambda}{2} P, -\lambda^2 Q, \frac{\lambda}{2} q_0)$$

$$S(\lambda \Gamma) = \lambda^2 S(\Gamma) \quad \text{BUT}$$

$$S(\Gamma_1^\lambda) = S(\Gamma_2^\lambda) \sim \frac{(\lambda P)^3}{r} \sim \lambda^3$$

## SOME TECHNICAL DETAILS

1. CONSTRUCT A FAMILY OF 2-CENTERED

$$\tilde{\Gamma}_1^\lambda = \left( r, \frac{p}{2}, Q, \lambda^{-2} \frac{q_0}{2} \right)$$

$$\tilde{\Gamma}_2^\lambda = \left( -r, \frac{p}{2}, -Q, \lambda^{-2} \frac{q_0}{2} \right)$$

$\tilde{\Gamma}_i^\lambda$  CAN BE 1-CENTERED BH'S OR  
CAN THEMSELVES BE POLAR

2. ATTRACTOR FORMALISM HAS A  
SCALING SYMMETRY UNDER

$$T_\lambda (p^0, p, Q, q_0) = (p^0, \lambda p, \lambda^2 Q, \lambda^3 q_0)$$

$$S(T_\lambda \Gamma) = \lambda^3 S(\Gamma)$$

3. APPLY TO  $T_\lambda \tilde{\Gamma}_1^\lambda + T_\lambda \tilde{\Gamma}_2^\lambda = \lambda \Gamma$

# DEGENERACY DICHOTOMY

- WE HAVE FOUND CONTRIBUTIONS TO  $\Omega(\lambda\Gamma)_\infty$  GROWING LIKE  $e^{\lambda^3}$
- IF INDEED  $\Omega(\lambda\Gamma)_\infty \sim e^{\lambda^3}$  THEN WEAK COUPLING OSV IS WRONG.
- BUT  $\Omega(\lambda\Gamma)_\infty$  IS AN INDEX. IT IS POSSIBLE THAT

$$\Omega(\lambda\Gamma)_\infty = \sum \pm e^{\lambda^3} \sim e^{\lambda^2}$$

- THIS RAISES THE QUESTION OF  $\dim \mathcal{H}(\Gamma; t)$  vs.  $\Omega(\Gamma; t)$
- \* Physically the dimension is relevant
- \* All tests use the index
- \* We have ignored nonperturbative string effects which could lift  $e^{\lambda^3}$  states leaving  $e^{\lambda^2}$  ground states.

$$\begin{aligned} \Rightarrow E - |Z| = 0 &\sim e^{\lambda^2} \text{ states} \\ E - |Z| \sim e^{-1/g_s} &\sim e^{\lambda^3} \text{ states} \end{aligned}$$

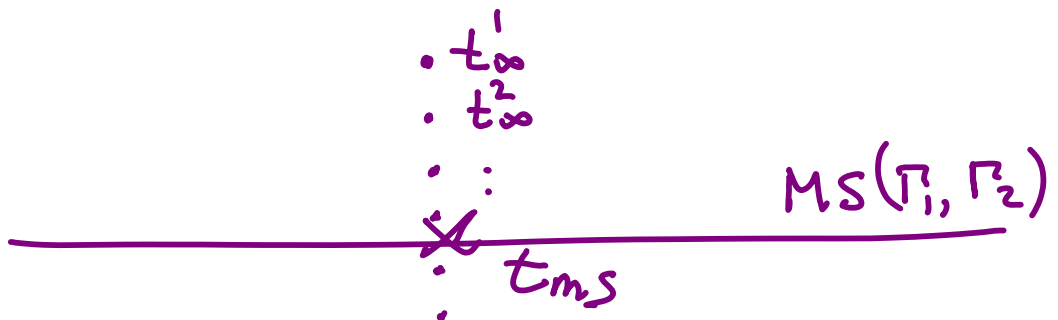
# 5. WALL-CROSSING FORMULA

RETURN TO 2-CENTER BOUNDSTATE



$$R_{12} = \frac{1}{2} \langle \Gamma_1, \Gamma_2 \rangle \frac{|z_1 + z_2|_\infty}{\text{Im}(z_1 \bar{z}_2)_\infty}$$

$$J_{12} = \frac{1}{2} \left( \langle \Gamma_1, \Gamma_2 \rangle - 1 \right) \quad \text{quantum correction}$$



$\Gamma_1, \Gamma_2$  PRIMITIVE  $\Rightarrow$  STATES LOST FROM  $\mathcal{H}(\Gamma; t_\infty)$  ARE

$$(J_{12}) \otimes \mathcal{H}(\Gamma_1; t_{ms}) \otimes \mathcal{H}(\Gamma_2; t_{ms})$$

## ARGUMENT FOR UNIVERSALITY

1. ON  $MS(\Gamma_1, \Gamma_2)$  CAN ADIABATICALLY SEPARATE CONSTITUENTS.

2. ONLY LONG RANGE FIELDS CAN ADD EXTRA D.O.F.

3. CLASSICAL FORMULA FOR  $\vec{J}$  CARRIED BY EM FIELD OF A PAIR OF DYONS

$$\vec{J} = \frac{1}{2} \langle \Gamma_1, \Gamma_2 \rangle \hat{r}$$

4. QUANTUM CORRECTION IS FIXED BY  $\Delta \mathcal{H} = 0$  WHEN  $\langle \Gamma_1, \Gamma_2 \rangle = 0$ .

# APPLICATIONS

A. COMPUTATION OF  $\Omega$ 's:

$$\Delta\Omega = (-1)^{\langle \Gamma_1, \Gamma_2 \rangle - 1} |\langle \Gamma_1, \Gamma_2 \rangle| \Omega(\Gamma_1) | \Omega(\Gamma_2)_{t_{NS}}_{t_{NS}}$$

$$\Omega(\Gamma; t_{\infty}) = \sum_{\substack{\text{TREES} \\ \Gamma}} \prod_{\Gamma_a \rightarrow \Gamma_b \Gamma_c} (-1)^{\langle \Gamma_b, \Gamma_c \rangle - 1} |\langle \Gamma_b, \Gamma_c \rangle| \prod_{\text{TERMINALS}} \Omega(\Gamma; t_{\infty})$$

$\Rightarrow$  IN PARTICULAR, THE FINITE SET OF "POLAR STATES" WHICH GOVERN ALL D4D2D0 DEGENERACIES ARE COMPUTABLE  $\Rightarrow$  DERIVE OSV

B. MATH APPLICATIONS

- MODULI OF SHEAVES
- JOYCE

C. RELATION TO QUIVER GAUGE THEORY



## EXAMPLE OF A:

RECALL  $\Gamma = (0, P, Q, q_0) = P + Q + q_0 dV$

REGULAR ATTRACTOR FOR  $\hat{q}_0 < 0$

BLACK HOLE FOR  $\hat{q}_0 \ll 0$

BUT VIEWED AS WRAPPED D4 WITH  
FLUX F AND N  $\overline{D0}$  BRANES:

$$\hat{q}_0 = \frac{\chi(P)}{24} + \frac{1}{2}(F^-)^2 - N$$
$$\leq (\hat{q}_0)_{\max} = \frac{\chi(P)}{24}$$

"POLAR STATES"  $0 < \hat{q}_0 < (\hat{q}_0)_{\max}$

THESE MUST BE SPLIT STATES

PURE D4:  $\Gamma = P + q_0 dV$

WITH  $q_0 = \hat{q}_0 = (\hat{q}_0)_{\max} = \frac{\chi(P)}{24}$

FIND ONLY ONE SPLITTING

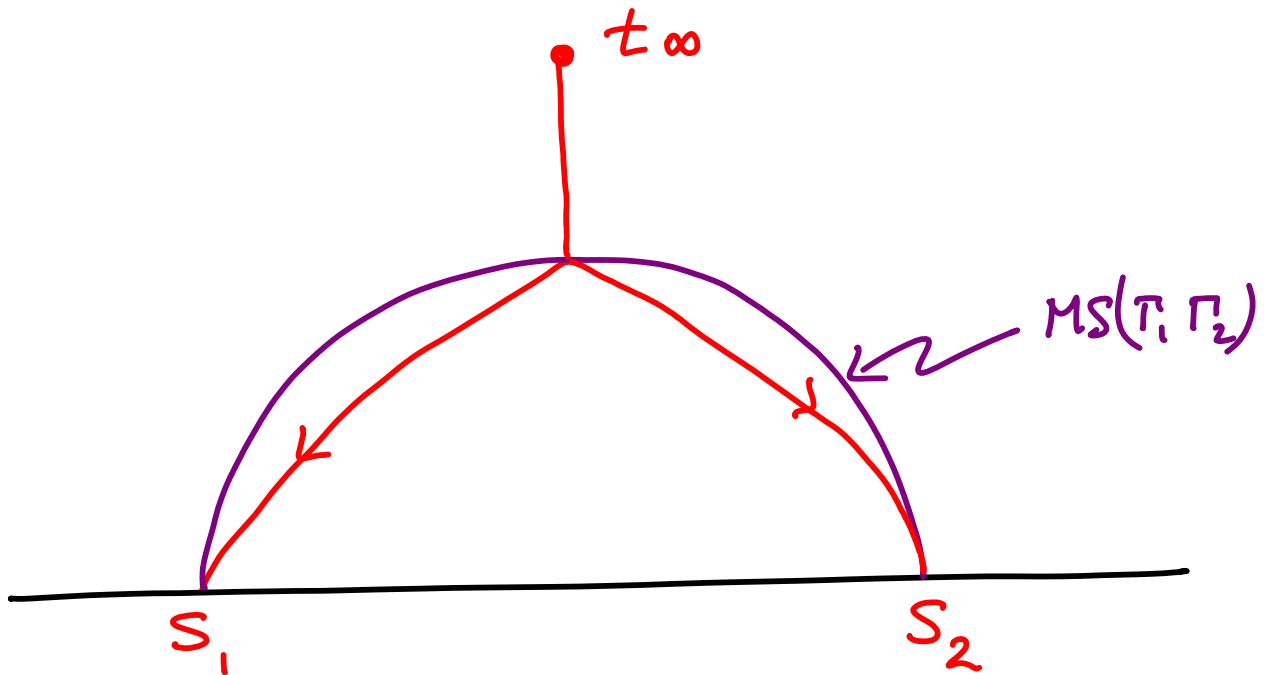
$$\Gamma = P + \int_0^1 dV = \Gamma_1 + \Gamma_2$$

$$= \underbrace{e^{S_1} \left(1 + \frac{C_2(x)}{24}\right)}_{\text{1 DG WITH FLUX } = S_1} - \underbrace{e^{S_2} \left(1 + \frac{C_2(x)}{24}\right)}_{\text{1 DG w/ FLX } S_2}$$

1 DG WITH FLUX =  $S_1$

1 DG w/ FLX  $S_2$

$$S_1 - S_2 = P$$



$$\Omega(\Gamma, t_\infty) = (-1)^{I_{12}-1} |I_{12}| \Omega(\Gamma_1) \Omega(\Gamma_2) = (-1)^{I_{12}-1} |I_{12}|$$

$$I_{12} = \langle \Gamma_1, \Gamma_2 \rangle = \frac{P^3}{6} + \frac{C_2(x) \cdot P}{12}$$

INDEED = THE CORRECT ANSWER FOR  
 $\chi$  (MODULI OF PURE D4)

## B. MATH APPLICATIONS (WITH E. DIACONESCU)

CONSIDER THE CASE WHERE  
 $D_4$  WRAPS A RIGID SURFACE  $S$   
IN C.Y.

$P$  IS NOT IN KÄHLER CONE

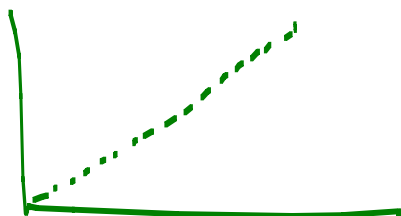
EXAMPLE  $r=2$  BUNDLE ON  
 $S$  WITH  $b''(s) > 1$

AS A FUNCTION OF  $J$  CAN  
HAVE INSTABILITY:

$$0 \rightarrow \mathcal{G}_{\mathbb{Z}_2}(n_2) \rightarrow \mathcal{E} \rightarrow \mathcal{G}_{\mathbb{Z}_1}(n_1) \rightarrow 0$$

SUCH BUNDLES BECOME UNSTABLE  
ACROSS WALLS IN KÄHLER CONE

Exple  $\mathbb{P}^1 \times \mathbb{P}^1$



REFINE  $\Omega(y; \Gamma; t) = \text{Tr}_{\mathcal{H}(\Gamma; t)} (-y)^{2J_3}$

$$\mathcal{H}' \sim H^* \left\{ \text{MODULI OF BRANES} \right\}$$

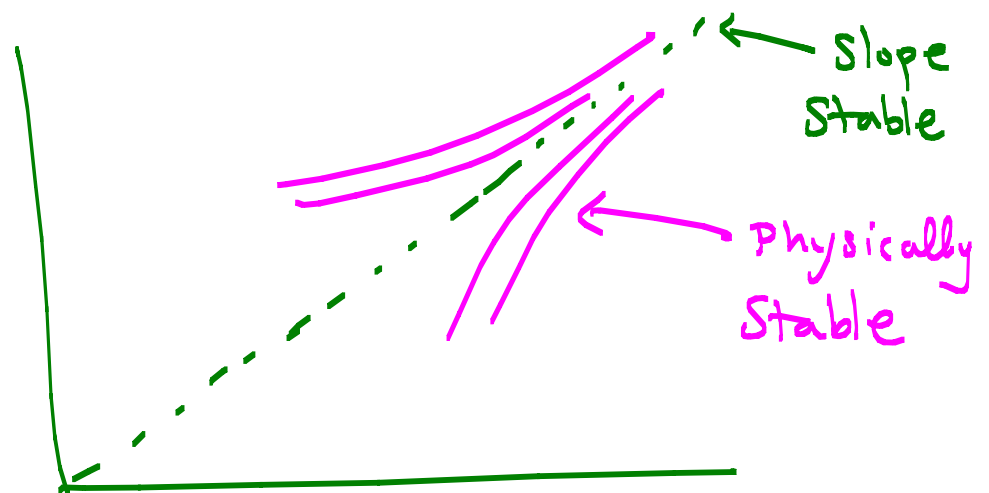
$$\Omega(y; \Gamma; t) = \text{POINCARÉ POLYNOMIAL}$$

$$\Delta \Omega = (-y)^{-\langle \Gamma_1, \Gamma_2 \rangle + 1} \frac{1 - y^{2\langle \Gamma_1, \Gamma_2 \rangle}}{1 - y^2} \Omega(y; \Gamma_1) \Omega(y; \Gamma_2)$$

$\Rightarrow$  REPRODUCE RESULTS OF GÖTTSCHE AND YOSHIUKA ON MODULI OF BUNDLES,

MORE IMPORTANT: WALLS OF MS

ASYMPTOTE TO WALL OF SLOPE STAB.



⇒ MODULI OF  $D_4$

WRAPPING A RIGID HOLO. SURFACE

IS NOT MODULI SPACE OF (SLOPE STABLE)

SHEAVES!! EVEN WHEN INST.

CORRECTIONS ARE NEGLECTED.

CONTRADICTS STATEMENTS FOUND  
IN THE LITERATURE. (INCLUDING MY  
PAPERS)

PRESUMABLY THE RIGHT CONCEPT  
IS THE MODULI SPACE OF STABLE  
OBJECTS IN THE DERIVED CATEGORY  
(YET TO BE CONSTRUCTED)

# 6. APOTHEOSIS OF DONALDSON, THOMAS & McMAHON

## HALO STATES

SUPPOSE

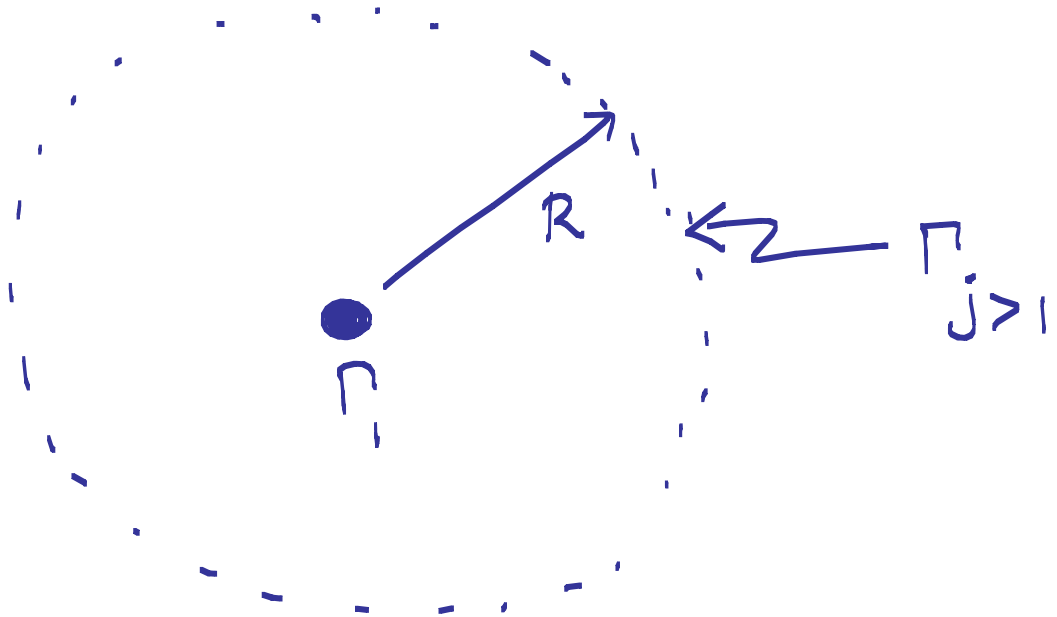
$$\Gamma_j = \lambda_j \Gamma_2 \quad \lambda_j > 0, j=2, \dots, N$$

ARE ALL MUTUALLY LOCAL

INTEGRABILITY CONDITIONS SAY

$$j \geq 2: \quad \frac{\langle \Gamma_j, \Gamma_1 \rangle}{|\vec{x}_j - \vec{x}_1|} = 2 \frac{\operatorname{Im}(z(\Gamma_j) \overline{z(\Gamma_1)})}{|z(\Gamma_1)|}$$

$\Rightarrow$  ALL  $|\vec{x}_j - \vec{x}_1|$  ARE EQUAL



## IMPORTANT EXAMPLE:

D6D2D0 BOUND TO D2D0

### D6D2D0 CHARGE:

$$\Gamma(\beta, n) = \Gamma = (1, 0, -\beta, n)$$

$\beta = \text{P.D.}[\sigma]$   $\sigma \subset X$  HOLOMORPHIC CURVE

Remark: Corresponds to an "IDEAL SHEAF"

### D2D0 CHARGE:

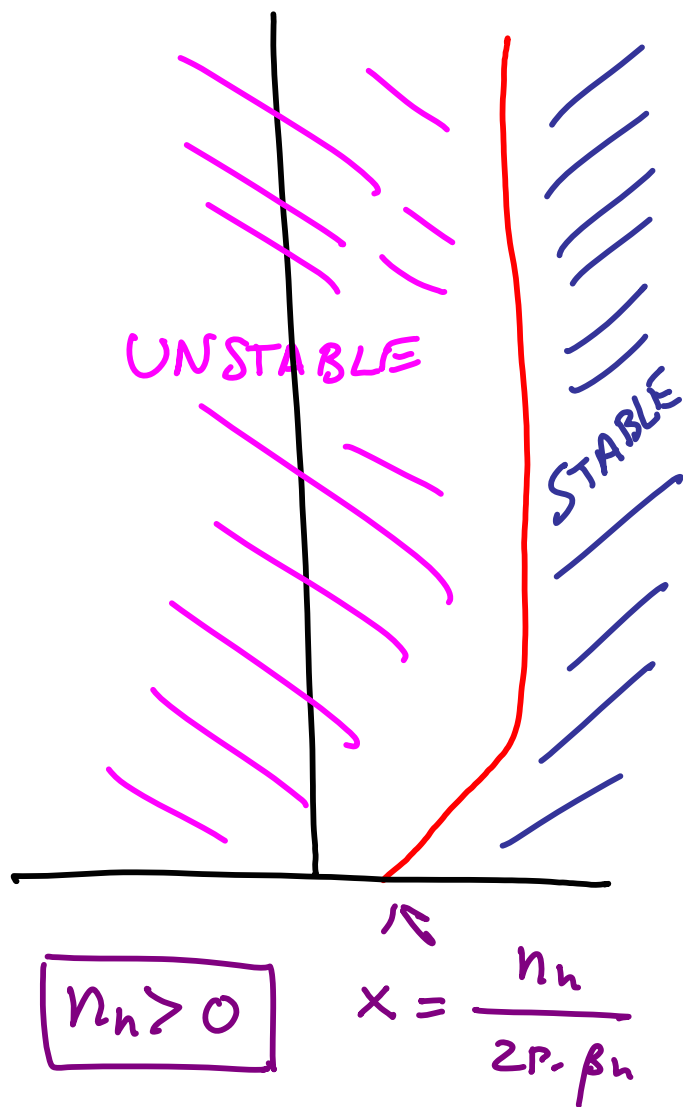
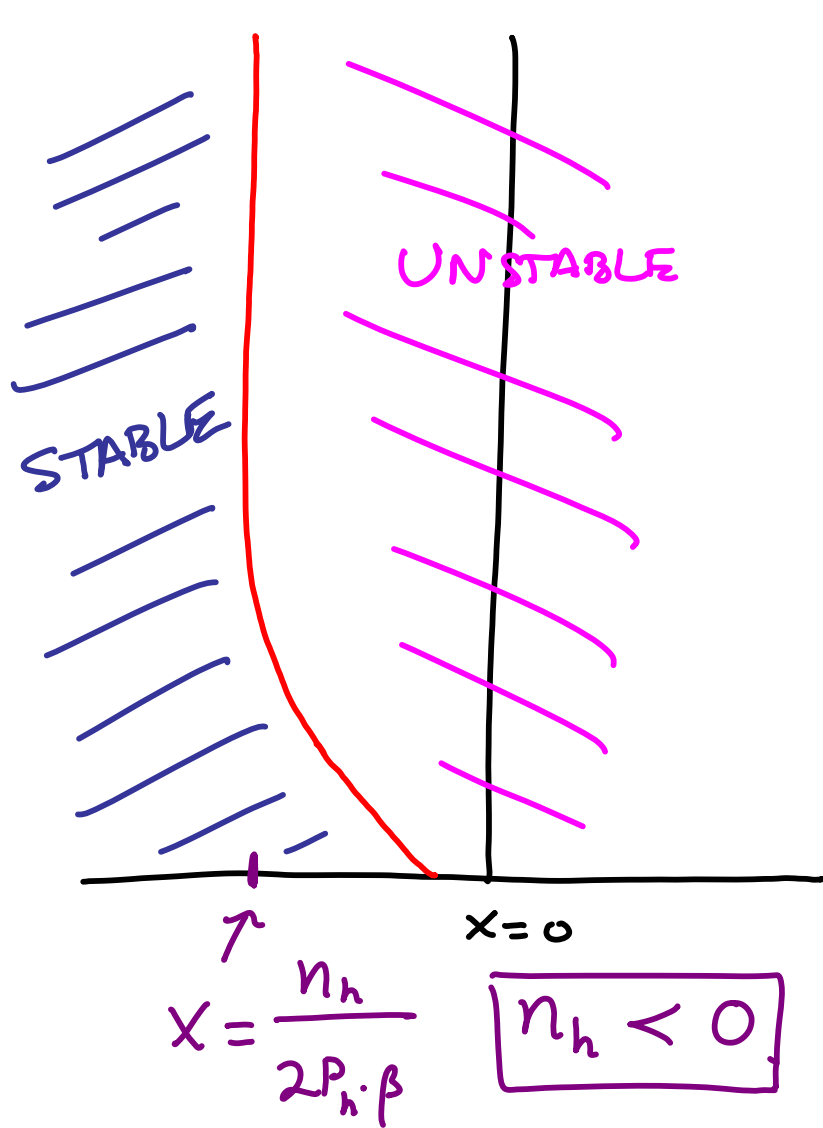
$$\Gamma_h = (0, 0, -\beta_h, n_h)$$

SET  $t = (x + iy) \underline{1}$

PLOT MARGINAL STABILITY CURVE

$$\text{Im } z_i, z_n^* = 0$$

FOR  $\text{P. } \beta_h > 0$

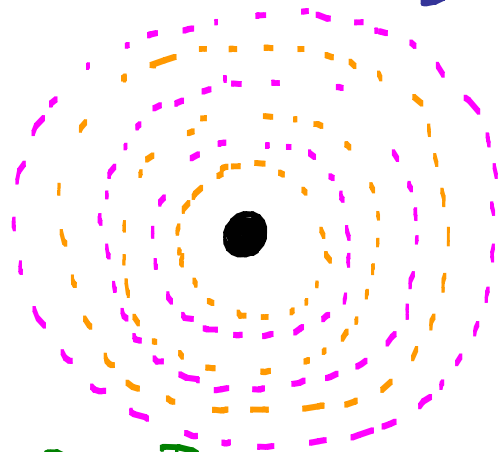


- DEPENDING ON B-FIELD THERE IS A D2DO HALO - EVEN AT  $J \rightarrow \infty$  !



$\Gamma(\beta, n)$  FORM HALO  
BOUNDSTATES WITH D2DO STATES  
PROPORTIONAL TO  $(0, 0, -\beta n, n_h)$ .

GENERAL PICTURE: BOHR MODEL



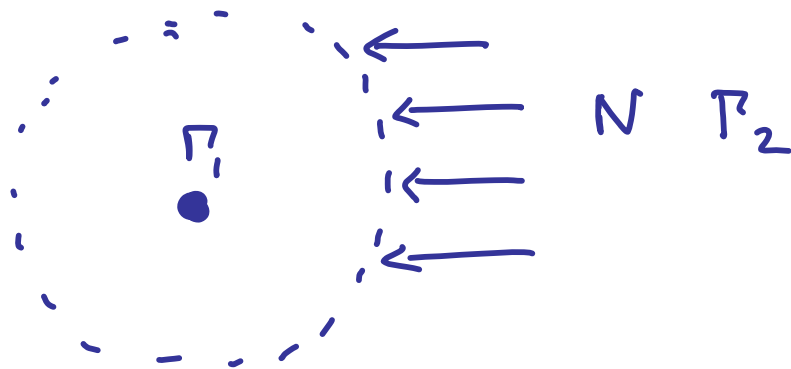
INCREASE  $B = xP$ ,  $x \rightarrow +\infty$ : FOR  $x > 0$   
ALL  $n_h < 0$  STATES HAVE DECAYED.

AS  $x \rightarrow +\infty$  WE MOVE INTO THE STABLE  
REGION FOR ALL  $n_h > 0$ , AND EVER  
LARGER "ATOMS" BECOME STABLE

REMARK:  $\Omega(\Gamma(\beta, n); t) \neq$  DONALDSON-THOMAS  
INVARIANTS!

# NONPRIMITIVE WALL-CROSSING

$$\Gamma \rightarrow \Gamma_1 + N \Gamma_2$$



THE PARTICLES IN THE HALO  
GENERATE A FOCK SPACE WITH

$(\mathcal{J}_{\Gamma_1, k\Gamma_2}) \otimes \mathcal{H}(k\Gamma_2; t_{ms})$  CREATION/ANNIH  
OPERATORS OF  
CHARGE  $k\Gamma_2$

ALL WALLS  $W(\Gamma_1, N\Gamma_2)$  COINCIDE  $\Rightarrow$   
CROSSING A WALL WE LOSE ENTIRE  
FOCK SPACE:

$$\Omega(\Gamma_1) + \sum_{N \geq 1} \Delta\Omega(\Gamma \rightarrow \Gamma_1 + N\Gamma_2) u^N$$

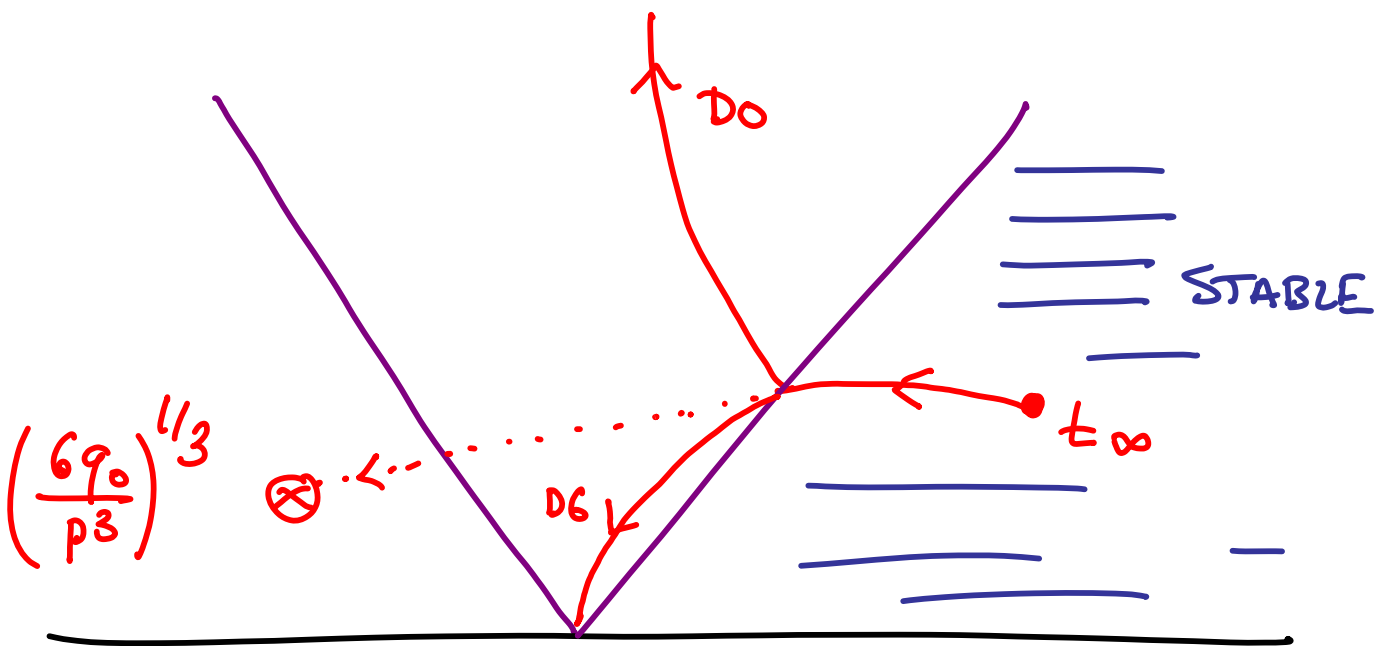
$$= \Omega(\Gamma_1) \prod_{k > 0} \left( 1 - (-1)^{k \langle \Gamma_1, \Gamma_2 \rangle} u^k \right)^{|\langle \Gamma_1, k\Gamma_2 \rangle|} \Omega(k\Gamma_2)$$

EXAMPLE: D6D0

$$\Gamma = 1 + q_0 dV$$

$$Z_h = \frac{t^3}{6} - q_0$$

SET  $t = (x+iy)P \Rightarrow$  ZERO @  $t = \left(\frac{6q_0}{P^3}\right)^{1/3} P$



$$Z_{D6D0}(u) = \sum \Omega(1+q_0 dV; t) u^{q_0}$$

$$= \begin{cases} 1 & t \in \text{UNSTABLE} \\ \prod_{k>0} (1 - (-u)^k)^{-k\chi(x)} & t \in \text{STABLE} \end{cases}$$

McMahon!

A SIMILAR DISCUSSION APPLIES  
TO  $D_6D_2D_0$  BOUNDSTATES

$\Rightarrow$  INFINITE PRODUCT FORMULAE  
RELATED TO DONALDSON-THOMAS  
PARTITION FUNCTION:

$$Z_{D_6D_2D_0}(u, v; t) := \sum_{n, \beta} \Omega(\Gamma(n, \beta); t) u^n v^\beta$$

$$\lim_{x \rightarrow +\infty} Z_{D_6D_2D_0}(u, v; t) = Z_{DT}(u, v)$$

$$\lim_{x \rightarrow -\infty} Z_{D_6D_2D_0}(u, v; t) = Z_{DT}(\bar{u}', v)$$

# 7. ROUGH SKETCH OF OSV

## 1. FAREYTAIL:

$$Z_{D_4 D_2 D_0} = \sum \text{MOD. TMNS. OF } Z_{D_4 D_2 D_0}^{\text{POLAR}}$$

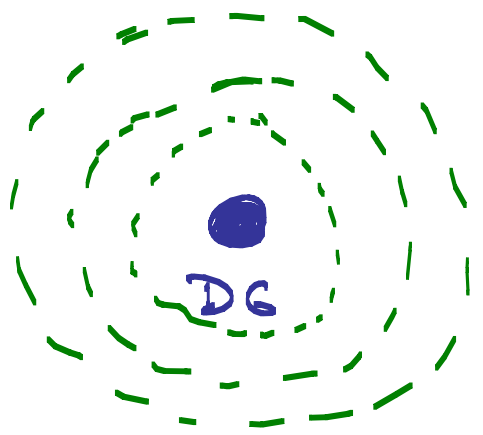
$$2. \quad Z_{D_4 D_2 D_0}^{\text{POLAR}} = Z_{D_6 \overline{D_6}}^{\epsilon} (t_{ms}) + \text{ET}(\epsilon)$$

↑  
EPS CONJECTURE

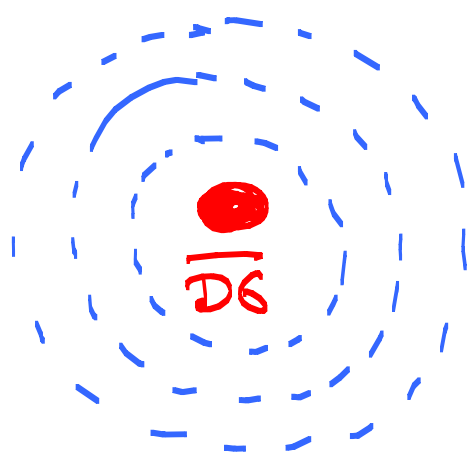
(EXTREME) POLAR STATES SPLIT AS  $D_6 \overline{D_6}$

$$\epsilon = \frac{(\hat{q}_0)_{\max} - \hat{q}_0}{(\hat{q}_0)_{\max}} \ll 1 \implies$$

POLAR STATES LOOK LIKE



$$\Gamma_1 = e^{S_1} (1 - \beta_1 + n_1 dV)$$



$$\Gamma_2 = -e^{S_2} (1 - \beta_2 + n_2 dV)$$

$$3. \quad Z_{DGDG}^E(t_{ms}) = Z_{DGDZDO}^E(t_{ms}) \frac{Z_{DGDZDO}^E(t_{ms})}{Z_{DGDZDO}^E(t_{ms})}$$

$\uparrow$   
 W.C. Formula

$$4. \quad Z_{DGDZDO}^E(t_{ms}) = Z_{DT}^E$$

$$E \sim |P|^{-\sum_{cd} \epsilon_{cd}} \quad P \rightarrow \infty$$

SWING STATE CONJECTURE:  $\sum_{cd} \epsilon_{cd} < 2$

$$5. \quad Z_{DT} = Z_{GW} = Z_{TOP} \quad \text{MNOP CONJ.}$$

STEPS 1 & 2 MAKE IMPORTANT

APPROXIMATIONS

## 8. CONCLUSION: SOME IMPORTANT OPEN PROBLEMS

- ENTROPY ENIGMA'

\* DOES  $e^{\lambda^3}$  CANCEL TO  $e^{\lambda^2}$ ?

MANY ARG'S FOR AND AGAINST

- GENERALIZE W.C. TO  $N_1 \Gamma_1 + N_2 \Gamma_2$

- RELATION TO BPS ALGEBRAS?

- $\Omega(\Gamma; t_*(\Gamma))$  HAS NO W.C.

BUT ALSO NO APPARENT  
AUTOMORPHY PROPERTIES.....

COULD IT NEVERTHELESS BE THE  
CASE THAT THIS IS THE PROPER OBJECT  
TO USE IN AN OSV-LIKE FORMULA?

● PHYSICALLY & DEF<sup>N</sup> OF  
THE NONPTVE TOP. STRING?

— THE  $D6\overline{D6}$  PICTURE SUGGESTS  
A WAY TO APPROACH THIS  
PROBLEM.