

# Fivebrane Instantons and Hypermultiplets

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“Advances in string theory, wall crossing and quaternion-Kähler geometry”

IHP, Paris, Aug-Sept 2010

Based on [Alexandrov, D.P., Pioline] to appear

Also related: [Pioline, D.P., 0902.3274]

[Bao, Kleinschmidt, Nilsson, D.P., Pioline, 0909.4299 & 1005.4848]

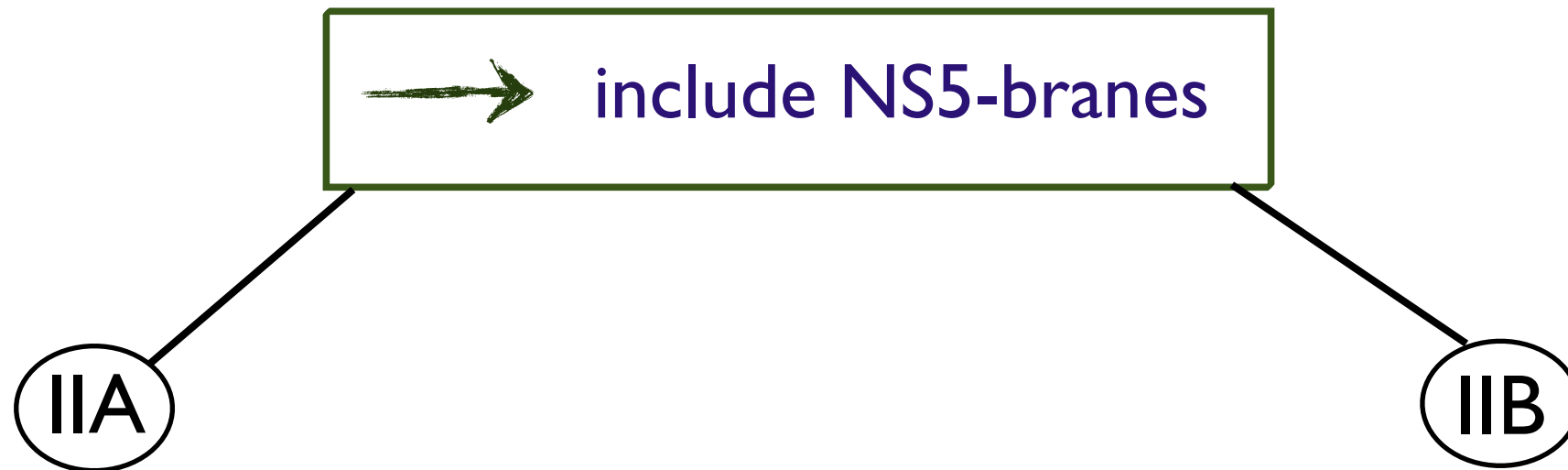
So far: **perturbative** and **D-instanton** corrections to the hypermultiplet metric

This talk: take initial steps towards completing the picture



include NS5-branes

[Becker, Becker, Strominger]



- worldvolume theory is chiral

- partition function is a section of a line bundle over the space of 3-forms

- requires a choice of “quadratic refinement”

- worldvolume theory is non-chiral

- related to D5 by S-duality

- DT-invariants and topological strings

- non-trivial contact structure on twistor space  $\mathcal{Z}_{\mathcal{M}} \rightarrow \mathcal{M}$

How to implement NS5-corrections to  $\mathcal{M}$ ?

# Outline

- The HM moduli space in IIA/CY3
- The type IIA fivebrane partition function revisited
- Uplifting to twistor space
- NS5-brane instantons from S-duality
- Conclusions and Discussion

# Type IIA point of view on $\mathcal{M}$ (recap from Boris's talk)



In the weak-coupling limit, the moduli space is foliated by circle fibrations over the intermediate Jacobian

$$\mathcal{M} \sim \left( \mathbb{R}_{\phi}^{+} \right) \times \left( \begin{array}{ccc} S_{\sigma}^1 & \rightarrow & \mathcal{C}(\phi) \\ & & \downarrow \\ & & \mathcal{I}_c(\mathcal{X}) \end{array} \right) \quad \dim \mathcal{M} = 4(h_{2,1} + 1)$$

$g_s = e^{-\phi/2}$

$\sigma$   
dual of  $B_{(2)}$  in  $D = 4$   
“NS-axion”

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$$\begin{array}{ccc} H^3(\mathcal{X}, \mathbb{R})/H^3(\mathcal{X}, \mathbb{Z}) & \rightarrow & \mathcal{J}_c(\mathcal{X}) \\ & & \downarrow \\ & & \mathcal{M}_c(\mathcal{X}), \end{array}$$

$$\zeta^\Lambda = \int_{\mathcal{A}^\Lambda} C_{(3)} \quad \tilde{\zeta}_\Lambda = \int_{\mathcal{B}_\Lambda} C_{(3)}$$

$$(\zeta^\Lambda, \tilde{\zeta}_\Lambda) \in \frac{H^3(\mathcal{X}, \mathbb{R})}{H^3(\mathcal{X}, \mathbb{Z})} = \mathcal{T}$$

$$\dim \mathcal{T} = 2h_{2,1} + 2$$

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$$H^3(\mathcal{X}, \mathbb{R}) / H^3(\mathcal{X}, \mathbb{Z}) \rightarrow \mathcal{J}_c(\mathcal{X})$$

$$\begin{array}{c} \mathcal{J}_c(\mathcal{X}) \\ \downarrow \\ \mathcal{M}_c(\mathcal{X}), \end{array} \quad \dim \mathcal{M}_c = 2h_{2,1}$$

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$$(\zeta^\Lambda, \tilde{\zeta}_\Lambda) \in \frac{H^3(\mathcal{X}, \mathbb{R})}{H^3(\mathcal{X}, \mathbb{Z})} = \mathcal{T}$$

$$X^I = \int_{\mathcal{A}^I} \Omega$$

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First Chern class:  $c_1(\mathcal{C}) = d \left( \frac{D\sigma}{2} \right) = \omega_{\mathcal{T}} + \frac{\chi}{24} \omega_{\mathcal{M}_c}$

classical contribution

one-loop correction  
 $\chi$  Euler number of  $\mathcal{X}$



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Translations along  $\mathcal{T}$  form a Heisenberg group

$$\zeta^\Lambda \longmapsto \zeta^\Lambda + n^\Lambda$$

$$\tilde{\zeta}_\Lambda \longmapsto \tilde{\zeta}_\Lambda + m_\Lambda$$

$$\sigma \longmapsto \sigma + 2\kappa - m_\Lambda \zeta^\Lambda + n^\Lambda \tilde{\zeta}_\Lambda$$

**broken by D2-instantons**

$$n^\Lambda, m_\Lambda \in \mathbb{Z}$$

**broken by NS5-instantons**

$$\kappa \in \mathbb{Z}$$

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$$\sigma \longmapsto \sigma + 2\kappa - m_\Lambda \zeta^\Lambda + n^\Lambda \tilde{\zeta}_\Lambda + c_\Theta(m, n)$$

cocycle

required for consistency of NS5-instantons

## Qualitative form of NS5-instanton corrections


$$ds^2_{\mathcal{M}}|_{\text{NS5}} \sim e^{-4\pi|k|} e^{\phi - i\pi k\sigma} \mathcal{Z}^{(k)}(\zeta, \tilde{\zeta})$$

chiral NS5-partition function



# Qualitative form of NS5-instanton corrections

$$ds^2_{\mathcal{M}}|_{\text{NS5}} \sim e^{-4\pi|k|} e^{\phi - i\pi k\sigma} \mathcal{Z}^{(k)}(\zeta, \tilde{\zeta})$$

- NS5-instanton action:  $S_{\text{NS5}} = 4\pi|k| (g_s^{-2} + \dots) + i\pi (\sigma + \dots)$
- $e^{-i\pi k\sigma}$  is valued in the circle bundle  $\mathcal{C}^{-k}$   
  $\mathcal{C}^{-k}$  non-trivially fibered over both  $\mathcal{T}$  and  $\mathcal{M}_c$ !
- What about  $\mathcal{Z}^{(k)}(\zeta, \tilde{\zeta})$  ?

Is the coupling well-defined?

# The fivebrane partition function revisited

Key problem: worldvolume  $W$  supports an (imaginary) **self-dual** 3-form  $H = d\mathcal{B}$

$$\star_W H = iH$$

[Callan, Curtis, Harvey, Strominger]

- The “flux”  $H$  acts as an electric source for the 3-form  $C$
- Non-chiral partition function is a sum over harmonic fluxes  $H \in H^3(\mathcal{X}, \mathbb{Z})$
- Construct the **chiral** partition function via **factorization** [Witten]

Construct  $\mathcal{Z}$  by holomorphic factorization of the non-chiral partition function:

$$\mathcal{Z}^{\text{non-chiral}}(C) = \sum_{H \in H^3(\mathcal{X}, \mathbb{Z})} r(H) e^{-S(H, C)}$$

[Witten]  
[Henningson, Nilsson, Salomonsson]  
[Moore][Belov, Moore]

Gaussian action, weak-coupling approximation  $g_s H \ll 1$

Construct  $\mathcal{Z}$  by holomorphic factorization of the non-chiral partition function:

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“fluctuation determinant”  $r(H) = |\mathcal{F}|^2 [\sigma_{\Theta}(H)]^k$

metric-dependent normalization



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“fluctuation determinant”  $r(H) = |\mathcal{F}|^2 [\sigma_{\Theta}(H)]^k$

“quadratic refinement” of the intersection form on  $H^3(\mathcal{X}, \mathbb{Z})$

$$\sigma_{\Theta} : H^3(\mathcal{X}, \mathbb{Z}) \longrightarrow U(1)$$

cocycle:  $\sigma_{\Theta}(H + H') = (-1)^{\langle H, H' \rangle} \sigma_{\Theta}(H) \sigma_{\Theta}(H')$



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“fluctuation determinant”  $r(H) = |\mathcal{F}|^2 [\sigma_{\Theta}(H)]^k$

general solution can be written as:

$$\sigma_{\Theta}(H) = e^{-i\pi k m_{\Lambda} n^{\Lambda} + 2\pi i(m_{\Lambda} \theta^{\Lambda} - n^{\Lambda} \phi_{\Lambda})}$$

$$n^{\Lambda} = \int_{\mathcal{A}^{\Lambda}} H$$

$$m_{\Lambda} = \int_{\mathcal{B}_{\Lambda}} H$$

$$\Theta = (\theta^{\Lambda}, \phi_{\Lambda})$$

integer-valued fluxes

“characteristics”  
may vary continuously over  $\mathcal{M}_C$

Construct  $\mathcal{Z}$  by holomorphic factorization of the non-chiral partition function:

$$\mathcal{Z}^{\text{non-chiral}}(C) = \sum_{H \in H^3(\mathcal{X}, \mathbb{Z})} r(H) e^{-S(H, C)}$$

Choose a Lagrangian decomposition:  $H^3(\mathcal{X}, \mathbb{Z}) = \Gamma_e \oplus \Gamma_m$

After Poisson resummation on  $m_\Lambda \in \Gamma_m$

$$\mathcal{Z}^{\text{non-chiral}}(C) \sim \sum_{\mu} \left| \mathcal{Z}_{\Theta, \mu}^{(k)}(\mathcal{N}, \zeta, \tilde{\zeta}) \right|^2$$

Partition function of the chiral NS5-brane

Construct  $\mathcal{Z}$  by holomorphic factorization of the non-chiral partition function:

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Period matrix in the “Weil complex structure” on  $\mathcal{T}$   
(determined by the Hodge star  $\star_{\mathcal{X}}$ )



# The chiral NS5-brane partition function $(\mathbf{E}[x] = e^{2\pi i x})$

$$\mathcal{Z}_{\Theta, \mu}^{(k)} = \mathcal{F} e^{\pi i k (\theta^\Lambda \phi_\Lambda - \zeta^\Lambda \tilde{\zeta}_\Lambda)} \sum_{n \in \Gamma_m + \mu + \theta} \mathbf{E} \left[ \frac{k}{2} (\zeta^\Lambda - n^\Lambda) \bar{\mathcal{N}}_{\Lambda \Sigma} (\zeta^\Sigma - n^\Sigma) + k (\tilde{\zeta}_\Lambda - \phi_\Lambda) n^\Lambda \right]$$

[Belov, Moore] (see also [Dijkgraaf, Verlinde, Vong])

- **periodicity:** [Belov, Moore]

$$\mathcal{Z}_{\Theta, \mu}^{(k)}(\mathcal{N}, \zeta + n, \tilde{\zeta} + m) = (\sigma_\Theta(H))^k e^{\pi i (n^\Lambda \tilde{\zeta}_\Lambda - m_\Lambda \zeta^\Lambda)} \mathcal{Z}_{\Theta, \mu}^{(k)}(\mathcal{N}, \zeta, \tilde{\zeta})$$

→ is a **holomorphic** section of a line bundle  $\mathcal{L}_\Theta^k \longrightarrow \mathcal{T}$  [Witten]

$$\mathcal{D}_{\bar{\omega}} \mathcal{Z}_{\Theta, \mu}^{(k)} = 0 \qquad \bar{\omega}_\Lambda = \tilde{\zeta}_\Lambda - \mathcal{N}_{\Lambda \Sigma} \zeta^\Sigma$$

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$$\mathcal{Z}_{\Theta, \mu}^{(k)}(\mathcal{N}, \zeta + n, \tilde{\zeta} + m) = (\sigma_\Theta(H))^k e^{\pi i (n^\Lambda \tilde{\zeta}_\Lambda - m_\Lambda \zeta^\Lambda)} \mathcal{Z}_{\Theta, \mu}^{(k)}(\mathcal{N}, \zeta, \tilde{\zeta})$$

→  $\mu$  labels the  $|k|^{b_3(\mathcal{X})}$  holomorphic sections of  $\mathcal{L}_\Theta^k$

→ for a single NS5-brane  $k = 1$  there is a **unique** holomorphic section of  $\mathcal{L}_\Theta$

Back to the original problem of the coupling:

$$ds^2_{\mathcal{M}}|_{\text{NS5}} \sim e^{-4\pi|k|} e^{\phi - i\pi k\sigma} \mathcal{Z}_{\Theta, \mu}^{(k)}(\zeta, \tilde{\zeta})$$

- $e^{i\pi\sigma}$  is valued in  $\mathcal{C}(\phi)$  with  $c_1(\mathcal{C})|_{\mathcal{T}} = \omega_{\mathcal{T}}$
- $\mathcal{Z}_{\Theta}^{(1)}$  is the unique holomorphic section of  $\mathcal{L}_{\Theta} \longrightarrow \mathcal{T}$   
 $c_1(\mathcal{L}_{\Theta}) = \omega_{\mathcal{T}}$

→ NS5-brane instantons are well-defined under variations along  $\mathcal{T}$

Back to the original problem of the coupling:

$$ds^2_{\mathcal{M}}|_{\text{NS5}} \sim e^{-4\pi|k|} e^{\phi - i\pi k\sigma} \mathcal{Z}_{\Theta, \mu}^{(k)}(\zeta, \tilde{\zeta})$$

- What about  $\mathcal{L} \longrightarrow \mathcal{M}_c$  ?

→ Part of the answer lies in the normalization factor  $\mathcal{F}$

[Belov, Moore]

- $\mathcal{F}$  is analogous to  $1/\eta$  in the partition function of a 2d chiral boson

[Alvarez-Gaume, Moore, Nelson, Vafa, Bost]

Important to take proper account of **supersymmetry**

The correct coupling should be formulated as a deformation of the  
complex contact structure on twistor space

## Twistor Space of $\mathcal{M}$ - a brief recap

$$\mathbb{C}P^1 \longrightarrow \mathcal{Z}_{\mathcal{M}} \longrightarrow \mathcal{M}$$

$\mathcal{Z}_{\mathcal{M}}$  is a complex contact manifold with a Kähler-Einstein metric

contact one-form:  $\mathcal{X}^{[i]} = d\alpha^{[i]} + \xi_{[i]}^{\Lambda} d\tilde{\xi}_{\Lambda}^{[i]} - \tilde{\xi}_{\Lambda}^{[i]} d\xi_{[i]}^{\Lambda}$

[Salamon][LeBrun][Swann][de Wit, Rocek, Vandoren][Alexandrov, Saueressig, Pioline, Vandoren]



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complex Darboux coordinates on  $\mathcal{U}_i \subset \mathcal{Z}_{\mathcal{M}}$



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Isometries of  $\mathcal{M}$  lift to a holomorphic action on  $\mathcal{Z}_{\mathcal{M}}$  :

[Galicki][Salamon][Alexandrov, Saueressig, Pioline, Vandoren]

$$\xi^{\Lambda} \longmapsto \xi^{\Lambda} + n^{\Lambda}$$

$$\tilde{\xi}_{\Lambda} \longmapsto \tilde{\xi}_{\Lambda} + m_{\Lambda}$$

$$\alpha \longmapsto \alpha + 2\kappa - m_{\Lambda} \xi^{\Lambda} + n^{\Lambda} \tilde{\xi}_{\Lambda} + c_{\Theta}(m, n)$$

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→  $(\xi^{\Lambda}, \tilde{\xi}_{\Lambda})$  parametrize the complexified Jacobian torus:

$$\mathcal{T}^{\mathbb{C}} = \left[ \frac{H^3(\mathcal{X}, \mathbb{R})}{H^3(\mathcal{X}, \mathbb{Z})} \right]^{\mathbb{C}}$$

→  $e^{i\pi\alpha}$  transforms like a section of the “complexified” theta line bundle

$$\begin{array}{ccc} \mathbb{C}^{\times} & \longrightarrow & \mathcal{L}_{\Theta}^{\mathbb{C}} \\ & & \downarrow \\ & & \mathcal{T}^{\mathbb{C}} \end{array}$$

Deformations of  $\mathcal{M}$  can be uplifted to deformations  
of the complex contact structure on  $\mathcal{Z}_{\mathcal{M}}$

[Salamon][LeBrun]

→ Infinitesimal deformations classified by  $H^1(\mathcal{Z}_{\mathcal{M}}, \mathcal{O}(2))$

- Practically, we study transition functions  $H^{[ij]}(\xi, \tilde{\xi}, \alpha)$  on overlapping patches
- Specify the Darboux coordinates in terms of  $(x, t) \in \mathcal{M} \times \mathbb{CP}^1$

$$\xi_{[i]}^{\Lambda}(x, t), \quad \tilde{\xi}_{\Lambda}^{[i]}(x, t), \quad \alpha^{[i]}(x, t)$$

- Perturbative Darboux coordinates: [Alexandrov, Saueressig, Pioline, Vandoren]

$$\xi^{\Lambda} = \zeta^{\Lambda} + \frac{\tau_2}{2} (t^{-1} z^{\Lambda} - t \bar{z}^{\Lambda}) \quad \text{D(-1)-D1}$$

$$\tilde{\xi}_{\Lambda} = \tilde{\zeta}_{\Lambda} + \frac{\tau_2}{2} (t^{-1} F_{\Lambda}(z) - t \bar{F}_{\Lambda}(\bar{z})) \quad \text{D3-D5}$$

$$\alpha = \sigma + \frac{\tau_2}{2} (t^{-1} W(z) - t \bar{W}(\bar{z})) + \frac{i\chi(\mathcal{X})}{24\pi} \log t \quad \text{NS5}$$

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$$\xi_{[i]}^{\Lambda}(x, t), \quad \tilde{\xi}_{\Lambda}^{[i]}(x, t), \quad \alpha^{[i]}(x, t)$$

- In the absence of NS5-branes, isometries of  $\alpha$  are unbroken

→  $H^{[ij]}(\xi, \tilde{\xi}, \alpha) = H^{[ij]}(\xi, \tilde{\xi})$  reduce to **symplectomorphisms**

- When NS5-branes are present,  $H^{[ij]}(\xi, \tilde{\xi}, \alpha)$  are genuine **contact transformations**

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Translations along  $\mathcal{T}$  form a **Heisenberg group** with algebra:  $[T_{\xi^\Lambda}, T_{\tilde{\xi}_\Sigma}] = k\delta_\Sigma^\Lambda$

→ Cannot diagonalize  $T_{\xi^\Lambda}$  and  $T_{\tilde{\xi}_\Sigma}$  simultaneously

↑  
NS5 charge

Must choose a polarization!

- $H^{[ij]}(\xi, \tilde{\xi}, \alpha)$  has a “non-abelian Fourier expansion”:

$$H^{[ij]}(\xi, \tilde{\xi}, \alpha) = \sum_{k \neq 0} \sum_{\mu \in (\Gamma_m / |k|) / \Gamma_m} \sum_{n \in \Gamma_m + \mu} H_{\mu, k}(\xi^\Lambda - n^\Lambda) \mathbf{E} \left[ kn^\Lambda \tilde{\xi}_\Lambda - \frac{k}{2} (\tilde{\alpha} + \xi^\Lambda \tilde{\xi}_\Lambda) \right]$$

↗  
“Wave function”

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↗  
“Wave function”

Suggestive relation with the topological string...

# NS5-instantons in twistor space

Aim: Find the twistor space analogue of the fivebrane partition function

General arguments require:

$$H_k(\xi, \tilde{\xi}, \alpha) \sim e^{-i\pi k\alpha} \mathcal{Z}^{(k)}(\xi, \tilde{\xi})$$



# NS5-instantons in twistor space

Aim: Find the twistor space analogue of the fivebrane partition function

“Exploratory strategy”: Start from the D-instanton series in IIB and employ S-duality

→ (D5, NS5) form a doublet under  $SL(2, \mathbb{Z})$

- Similar philosophy as was previously done for (F1, D1) + D(-1)

[Robles-Llana, Rocek, Saueressig, Theis, Vandoren]

# NS5-instantons in twistor space

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- Deformations arise due to D(-1)-D1-D3-D5 wrapping

$$\gamma = p^0 + p^a \omega_a - q_a \omega^a + q_0 \omega_{\hat{\chi}} \in H_{\text{even}}(\hat{\mathcal{X}}, \mathbb{Z})$$

- “BPS ray”  $\ell_\gamma = \{t : Z_\gamma(z^a)/t \in i\mathbb{R}^-\}$

- Across each ray,  $(\xi^\Lambda, \tilde{\xi}_\Lambda)$  are related by a symplectomorphism

[Kontsevich, Soibelman][Gaiotto, Moore, Neitzke] [Alexandrov, Saueressig, Pioline, Vandoren]

$$H_\gamma = \frac{i}{2(2\pi)^2} \Omega(\gamma) \text{Li}_2 \left[ \sigma_\Theta(\gamma) e^{-2\pi i(q_\Lambda \xi^\Lambda - p^\Lambda \tilde{\xi}_\Lambda)} \right]$$

generalized DT-invariants

same QR as in the fivebrane partition function!

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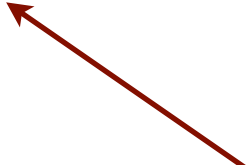
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[Kontsevich, Soibelman][Gaiotto, Moore, Neitzke] [Alexandrov, Saueressig, Pioline, Vandoren]

$$H_\gamma = \frac{i}{2(2\pi)^2} \tilde{\Omega}(\gamma) \sigma_\Theta(\gamma) e^{-2\pi i(q_\Lambda \xi^\Lambda - p^\Lambda \tilde{\xi}_\Lambda)}$$

$$\tilde{\Omega}(\gamma) = \sum_{d|\gamma} \frac{1}{d^2} \Omega(\gamma/d)$$


## S-duality

- **Data:**  $(H_\gamma, \ell_\gamma) \longrightarrow \delta \cdot (H_\gamma, \ell_\gamma) = (H_{k,p,\hat{\gamma}}, \ell_{k,p,\hat{\gamma}})$

$$\delta = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$$

- $\gcd(c, d) = 1$

- $(c, d) = (-k, p)/p^0$ 

$k$   
 $p$

**NS5-charge**

**D5-charge**

$p^0 = \gcd(k, p)$

- **Reduced charge vector:**  $\hat{\gamma} = (p^a, \hat{q}_a, \hat{q}_0)$

# S-duality

- **Data:**  $(H_\gamma, \ell_\gamma) \longrightarrow \delta \cdot (H_\gamma, \ell_\gamma) = (H_{k,p,\hat{\gamma}}, \ell_{k,p,\hat{\gamma}})$

- **Key property:**  $\begin{pmatrix} \tilde{\xi}_0 \\ \alpha \end{pmatrix} \mapsto \begin{pmatrix} d & -c \\ -b & a \end{pmatrix} \begin{pmatrix} \tilde{\xi}_0 \\ \alpha \end{pmatrix} + \dots$

$$\tilde{\xi}_0 = \zeta_0 + \frac{\tau_2}{2} (t^{-1} F_\Lambda(z) - t \bar{F}_\Lambda(\bar{z})) \quad \text{D5}$$

$$\alpha = \sigma + \frac{\tau_2}{2} (t^{-1} W(z) - t \bar{W}(\bar{z})) + \frac{i\chi(\mathcal{X})}{24\pi} \log t \quad \text{NS5}$$

- **Main assumption:** S-duality leaves the complex contact structure invariant
  - May be questionable, but works for D(-1)-(F1,D1)

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- **After some trickery:**  $(\mathbf{E}[x] = e^{2\pi i x})$

$$H_{k,p,\hat{\gamma}} = \frac{i\sigma_\Theta(\gamma)}{8\pi^2} \tilde{\Omega}(\gamma) \mathbf{E} \left[ -\frac{k}{2} S_\alpha + \frac{p^0 (k\hat{q}_a(\xi^a - n^a) + p^0 \hat{q}_0)}{k^2(\xi^0 - n^0)} \right]$$

$$S_\alpha \equiv \alpha + (\xi^\Lambda - 2n^\Lambda) \tilde{\xi}_\Lambda + 2 \frac{N(\xi^a - n^a)}{\xi^0 - n^0}$$

$$(n^0, n^a) = (p, p^a)/k$$

$$N(\xi^a) \equiv \frac{1}{6} \kappa_{abc} \xi^a \xi^b \xi^c$$

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- Up to subtle phases, the set of data  $(\ell_{k,p,\hat{\gamma}}, H_{k,p,\hat{\gamma}})$  is invariant under Heisenberg translations of  $(\xi^\Lambda, \tilde{\xi}_\Lambda, \alpha)$

- Assuming that  $\tilde{\Omega}(\gamma)$  is invariant under “generalized spectral flow”

# Find the analogue of the fivebrane partition function

→ Consider the formal sum:

$$H_{\text{NS5}}^{(k)}(\xi^\Lambda, \tilde{\xi}_\Lambda, \alpha) = \sum_{p, p^a, q_\Lambda} H_{k, p, \hat{\gamma}}(\xi^\Lambda, \tilde{\xi}_\Lambda, \alpha)$$



# Find the analogue of the fivebrane partition function

→ Use Heisenberg invariance to rewrite:

[Pioline, D.P.][Bao, Kleinschmidt, Nilsson, D.P., Pioline]

$$H_{\text{NS5}}^{(k)}(\xi, \tilde{\xi}, \alpha) = \sum_{\mu \in (\Gamma_m / |k|) / \Gamma_m} \sum_{n \in \Gamma_m + \mu} H_{\text{NS5}}^{(k, \mu)}(\xi^\Lambda - n^\Lambda) \mathbf{E} \left[ kn^\Lambda (\tilde{\xi}_\Lambda - \phi_\Lambda) - \frac{k}{2} (\tilde{\alpha} + \xi^\Lambda \tilde{\xi}_\Lambda) \right]$$

← “NS5 wave function”

- This is a non-Gaussian theta series

→ Twistor space analogue of the chiral fivebrane partition function!

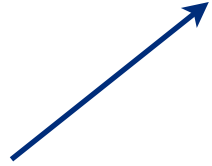
Does this make sense?

Restrict to  $k = 1 \longrightarrow$  Considerable simplification:

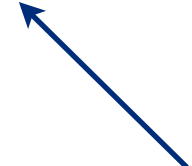
$$H_{\text{NS5}}^{(1,0)}(\xi^\Lambda) = e^{-2\pi i \frac{N(\xi^a)}{\xi^0} + \pi A_{\Lambda\Sigma} \xi^\Lambda \xi^\Sigma} \sum_{\hat{q}_a, \hat{q}_0} \tilde{\Omega}(\gamma) (-1)^{\hat{q}_0} e^{2\pi i \hat{q}_a \xi^a (\xi^0)^{-1} + 2\pi i \hat{q}_0 (\xi^0)^{-1}}$$

- Now compare with (rank 1) D6-D2-D0 DT-partition function:

$$\mathcal{Z}_{\text{DT}} = \sum_{Q_a, J} (-1)^{2J} N_{\text{DT}}(Q_a, 2J) e^{-2\lambda J + 2\pi i Q_a z^a}$$



D2-charge



D0-charge

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- Under formal change of variables:  $(\lambda, z^a) = (2\pi/(i\xi^0), \xi^a/\xi^0)$

$$(Q_a, 2J) = (\hat{q}_a + c_{2,a}/24, \hat{q}_0)$$

$$\longrightarrow H_{\text{NS5}}^{(1,0)}(\xi^\Lambda) = e^{-2\pi i \frac{N(\xi^a)}{\xi^0} + \pi A_{\Lambda\Sigma} \xi^\Lambda \xi^\Sigma} \mathcal{Z}_{\text{DT}}(\xi^\Lambda)$$

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Correct sign factor reproduced  
from the quadratic refinement!

$$\mathcal{Z}_{\text{DT}} = \sum_{Q_a, J} (-1)^{2J} N_{\text{DT}}(Q_a, 2J) e^{-2\lambda J + 2\pi i Q_a z^a}$$

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Actually, a more accurate statement is:

$$H_{\text{NS5}}^{(1,0)}(\xi^\Lambda) \sim \Psi_{\mathbb{R}}^{\text{top}}(\xi)$$



Topological string amplitude in the “real”  
(background-independent) polarization

[Witten][Maulik, Nekrasov, Okounkov, Pandharipande][Denef, Moore][Schwarz, Tang]

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The twistor space fivebrane partition function in type IIB is a non-Gaussian theta series built from the A-model top. string amplitude

$$H_{\text{NS5}}^{(1)}(\xi, \tilde{\xi}, \alpha) = e^{-i\pi\alpha} \sum_{n \in \Gamma_m} \Psi_{\mathbb{R}}^{\text{top}}(\xi^\Lambda - n^\Lambda) \mathbf{E} \left[ n^\Lambda \tilde{\xi}_\Lambda - \frac{1}{2} \xi^\Lambda \tilde{\xi}_\Lambda \right]$$

Fits nicely with earlier speculations: [Dijkgraaf, Verlinde, Vonk][Nekrasov, Ooguri, Vafa][Kapustin]

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$$H_{\text{NS5}}^{(1)}(\xi, \tilde{\xi}, \alpha) \equiv e^{-i\pi\alpha} \mathcal{Z}^{(1)}(\xi, \tilde{\xi})$$

● Now reexamine the NS5-coupling:

→  $\mathcal{Z}^{(1)}$  and  $e^{i\pi\alpha}$  transform as sections of  $\mathcal{L}_{\Theta}^{\mathbb{C}} \longrightarrow \mathcal{T}^{\mathbb{C}}$       Ok!

→ Both factors are separately trivial under  $\mathcal{L} \longrightarrow \mathcal{M}_c$       Ok!

Follows from:  $\alpha = \sigma + \frac{\tau_2}{2} (t^{-1} W(z) - t \bar{W}(\bar{z})) + \frac{i\chi(\mathcal{X})}{24\pi} \log t$

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Coupling is well-defined!



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→ The contribution from  $k > 1$  NS5-branes should be given by the generating function of rank  $r = \gcd(k, p)$  DT-invariants

But what about the Gaussian type IIA partition function?

→ By mirror symmetry this is given by a theta series based on the B-model topological string amplitude

But what about the **Gaussian type IIA** partition function?

- Consistency check: project onto the base  $\mathcal{M}$  via the **Penrose transform**

$$e^{-i\pi\sigma} \mathcal{Z}^{(1)}(\mathcal{N}, \zeta^\Lambda, \tilde{\zeta}_\Lambda) \text{ “} = \text{” } \sum_{n \in \Gamma_m} \oint_{\mathcal{C}} \frac{dt}{2\pi i t} e^{-i\pi\alpha} \mathcal{Z}^{(1)}(\xi, \tilde{\xi})$$

$$\sim e^{-i\pi\sigma} e^{f_1(z)} \sum_{n \in \Gamma_m} [(\zeta^\Lambda - n^\Lambda)(\Im \mathcal{N}_{\Lambda\Sigma}) z^\Sigma]^{\frac{\chi}{24} - 1} e^{-S_{\text{Gauss}}^{\text{IIA}}(\mathcal{N}, \zeta, \tilde{\zeta})}$$

→ Saddle point approx. reproduces Gaussian partition function with insertion

→ Phase of the normalization factor determined:

$$\mathcal{F} \sim e^{f_1(z)}$$

$e^{f_1}$ : holomorphic part of the B-model one-loop amplitude  $F_1 = \log \left[ e^{f_1(z) + \bar{f}_1(\bar{z})} / \sqrt{M(z, \bar{z})} \right]$

# Summary and Discussion

- Initial steps towards understanding NS5-brane instantons in type II/CY3
  - Proposal for the normalization factor of (non-)chiral partition function
  - Non-linear partition function in twistor space
  - Proposal for contact transformation encoding NS5-deformations
  - Explicit relation with topological string wave functions and DT-invariants

# Summary and Discussion

- Crucial open problem: understand **wall crossing**

→ KS-GMN symplectomorphisms should be upgraded to **contact transformations**

→ What about the **motivic KSWCF**?

Here is a suggestive hint: consider the Heisenberg group elements

$$\begin{array}{lcl} \gamma = (n^\Lambda, m_\Lambda) & T_\gamma : & \begin{array}{l} \xi^\Lambda \longrightarrow \xi^\Lambda + n^\Lambda \\ \tilde{\xi}_\Lambda \longrightarrow \tilde{\xi}_\Lambda + m_\Lambda \\ \alpha \longrightarrow \alpha - m_\Lambda \xi^\Lambda + n^\Lambda \tilde{\xi}_\Lambda \end{array} \end{array}$$

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- Crucial open problem: understand **wall crossing**

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Here is a suggestive hint: consider the Heisenberg group elements

When acting on sections of the form  $F_k(\xi^\Lambda, \tilde{\xi}_\Lambda, \alpha) \equiv F_k(\xi^\Lambda, \tilde{\xi}_\Lambda) e^{-i\pi k \alpha}$

$$T_\gamma T_{\gamma'} = q^{\frac{1}{2} \langle \gamma, \gamma' \rangle} T_{\gamma + \gamma'}$$

→ quantum torus with deformation parameter:  $q^{1/2} = -e^{i\pi k}$

Quantum deformation  $\longleftrightarrow$  NS5-brane charge

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When acting on sections of the form  $F_k(\xi^\Lambda, \tilde{\xi}_\Lambda, \alpha) \equiv F_k(\xi^\Lambda, \tilde{\xi}_\Lambda) e^{-i\pi k \alpha}$

Is there a “generalized” classical limit  $q^{1/2} \rightarrow -e^{i\pi k}$  where the quantum dilogarithm reduces to a contact transformation?